ON THE DIVISIBILITY OF THE CLASS NUMBER OF $Q(\sqrt{-pq})$ BY 16

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1. Introduction

Let d(<0) denote a squarefree integer. The ideal class group of the imaginary quadratic field $Q(\sqrt{d})$ has a cyclic 2-Sylow subgroup of order ≥ 8 in precisely the following cases (see for example [5] and [6]):

- (i) $d = -p, p = 2g^2 h^2 \equiv 1 \pmod{8}, (g/p) = +1;$
- (ii) d = -2p, $p = u^2 2v^2 \equiv 1 \pmod{8}$ with *u* chosen so that $u \equiv 1 \pmod{4}$, (u/p) = +1;
- (iii) $d = -2p, p \equiv 15 \pmod{16}$;
- (iv) d = -pq, $p \equiv 1 \pmod{4}$, $q \equiv 3 \pmod{4}$, (q/p) = +1, $(-q/p)_4 = +1$,

where p and q denote primes and g, h, u and v are positive integers. The class number of $Q(\sqrt{d})$ is denoted by h(d) and in the above cases $h(d) \equiv 0 \pmod{8}$. For cases (i), (ii) and (iii) the authors [6] have given necessary and sufficient conditions for h(d) to be divisible by 16. In this paper we do the same for case (iv) extending the results of Brown [4].

As the ideal class group of $Q(\sqrt{-pq})$ is isomorphic to the group (under composition) of classes of integral positive-definite binary quadratic forms $(a, b, c) = ax^2 + bxy + cy^2$ of discriminant $b^2 - 4ac = -pq$, we can work with forms rather than ideals. In order to determine h(-pq) modulo 16 we construct explicitly a form f of discriminant -pq whose square is in the ambiguous class containing the form $(p, p, \frac{1}{4}(p+q))$ (see Theorem 1 in Section 2). The form f is given in terms of a solution in positive integers X, Y, Z of the Legendre equation

$$pX^2 + qY^2 - Z^2 = 0 \tag{1.1}$$

satisfying

$$(X, Y) = (Y, Z) = (Z, X) = 1, \ p \not\mid YZ, \ q \not\mid XZ,$$
(1.2)

and

$$X \text{ odd}, Y \text{ even}, Z \equiv 1 \pmod{4}.$$
 (1.3)

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That there is a solution of (1.1) satisfying (1.2) follows immediately from Legendre's theorem in view of (iv). However we must justify that we can always find a solution with $Z \equiv 1 \pmod{4}$. In order to see this we let $R + S\sqrt{q}$ be the fundamental unit (>1) of the real quadratic field $Q(\sqrt{q})$. As $q \equiv 3 \pmod{4}$ we have

$$R^2 - qS^2 = +1.$$

It is well known that

$$R \equiv 2 \pmod{8}, S \equiv 1 \pmod{2}, \quad \text{if} \quad q \equiv 3 \pmod{8},$$
$$R \equiv 0 \pmod{8}, S \equiv 1 \pmod{2}, \quad \text{if} \quad q \equiv 7 \pmod{8},$$

and hence

$$R_1 = R^2 + qS^2 \equiv 7 \pmod{8}, S_1 = 2RS \equiv 0 \pmod{4}, \qquad R_1^2 - qS_1^2 = +1.$$

Hence if Z is even (so that X and Y are both odd) we can replace the solution (X, Y, Z) of (1.1) by the solution (X_1, Y_1, Z_1) given by

$$X_1 = X, Y_1 = RY + SZ, Z_1 = qSY + RZ,$$

for which Z_1 is odd. Further if $Z \equiv 3 \pmod{4}$ (in which case X is odd and Y is even) we can replace the solution (X, Y, Z) by the solution (X_2, Y_2, Z_2) given by

$$X_2 = X, Y_2 = R_1 Y + S_1 Z, Z_2 = q S_1 Y + R_1 Z,$$

for which $Z_2 \equiv 1 \pmod{4}$.

Our main result is the following theorem.

Theorem 2. If p and q are primes such that

$$p \equiv 1 \pmod{4}, \ q \equiv 3 \pmod{4}, \ \left(\frac{p}{q}\right) = +1, \left(\frac{-q}{p}\right)_4 = +1,$$
(1.4)

and (X, Y, Z) is any solution in positive integers of (1.1) which satisfies (1.2) and (1.3), then

$$h(-pq) \equiv 0 \pmod{16} \Leftrightarrow \left(\frac{Z}{p}\right)_4 = \left(\frac{2X}{Z}\right).$$

We remark that $(Z/p)_4$ is well-defined as (Z/p) = +1 and $p \equiv 1 \pmod{4}$. To see that (Z/p) = +1 we perform the following calculation: letting $Y = 2^n Y_1$, Y_1 odd, we have, using

(1.1) and (1.2),

$$\begin{pmatrix} \frac{Z}{p} \end{pmatrix} = \left(\frac{Z^2}{p}\right)_4 = \left(\frac{qY^2}{p}\right)_4 = \left(\frac{q}{p}\right)_4 \left(\frac{Y}{p}\right) = \left(\frac{q}{p}\right)_4 \left(\frac{2}{p}\right)^n \left(\frac{Y_1}{p}\right)$$
$$= \left(\frac{q}{p}\right)_4 \left(\frac{2}{p}\right) \left(\frac{p}{Y_1}\right) \quad (\text{as } n = 1 \text{ when } p \equiv 5 \pmod{8})$$
$$= \left(\frac{q}{p}\right)_4 \left(\frac{-1}{p}\right)_4 \left(\frac{pX^2}{Y_1}\right) \quad (\text{as } p \equiv 1 \pmod{4})$$
$$= \left(\frac{-q}{p}\right)_4 \left(\frac{Z^2}{Y_1}\right)$$
$$= + 1. \qquad (\text{by } (1.4)).$$

2. Square root of (p, p, (p+q)/4)

In this section we construct a form f of discriminant -pq such that $f^2 \sim (p, p, \frac{1}{4}(p+q))$. As (X, Y) = 1 there exists an integer u_0 such that $u_0 X \equiv 1 \pmod{Y}$. If the integer $e = (u_0 X - 1)/Y$ is odd we set $u = u_0$. If the integer $(u_0 X - 1)/Y$ is even then the integer

$$e = \frac{(u_0 + Y)X - 1}{Y} = \frac{u_0X - 1}{Y} + X$$

is odd and we set $u = u_0 + Y$. Thus the integers u and e satisfy

$$uX \equiv 1 \pmod{Y}, u \text{ odd}, e = (uX - 1)/Y \text{ odd}.$$
 (2.1)

Next, appealing to (1.1) and (2.1), we have

$$X(pX - uZ^2) \equiv 0 \pmod{Y}$$

so that, as (X, Y) = 1, we have

$$pX - uZ^2 \equiv 0 \pmod{Y}.$$

Hence we can define a positive integer a and an integer b by

$$a = Z, b = (pX - ua^2)/Y.$$
 (2.2)

From (2.2) we obtain

$$pX - bY = ua^2. \tag{2.3}$$

Also using (1.1), (2.1) and (2.2) we get

$$bX + qY = -ea^2, (2.4)$$

and

$$b^2 + pq = (pe^2 + qu^2)a^2. (2.5)$$

From (1.4) and (2.1) we see that $pe^2 + qu^2 \equiv 0 \pmod{4}$ so we can define an integer c by

$$c = (pe^2 + qu^2)/4. \tag{2.6}$$

Thus, from (2.5) and (2.6), we have

$$b^2 - 4a^2c = -pq, (2.7)$$

showing that the form (a, b, ac) has discriminant -pq. We note that (2.7) shows that b is odd.

With a, b and c as defined in (2.2) and (2.6) we prove the following theorem.

Theorem 1. $(a, b, ac)^2 \sim (p, p, (p+q)/4)$.

Proof. We define integers v, α and β by

$$v = 2Y, \quad \alpha = (u+e)/2, \quad \beta = X + Y.$$
 (2.8)

Appealing to (1.1), (2.3) and (2.7) we obtain, on completing the square for u,

$$a^2u^2 + buv + cv^2 = p, (2.9)$$

and appealing to (2.3), (2.4), (2.7) and (2.8), we obtain

$$bu + 2cv = \frac{1}{a^2}(bua^2 + 4a^2cY)$$

= $\frac{1}{a^2}(bua^2 + (b^2 + pq)Y)$
= $\frac{1}{a^2}(b(bY + ua^2) + pqY)$
= $\frac{1}{a^2}(bpX + pqY),$

that is

$$bu + 2cv = -pe. \tag{2.10}$$

Hence from (2.3), (2.8) and (2.10) we have

$$\alpha = (pu - bu - 2cv)/2p, \quad \beta = (2ua^2 + bv + pv)/2p.$$
(2.11)

Thus from (2.9) and (2.11) we obtain

$$u\beta - v\alpha = 1 \tag{2.12}$$

and

$$2a^{2}u\alpha + bu\beta + bv\alpha + 2cv\beta = p.$$
(2.13)

Hence from (2.7), (2.9) (2.12) and (2.13) and the identity

$$(2a^{2}u\alpha + bu\beta + bv\alpha + 2cv\beta)^{2} - 4(a^{2}u^{2} + buv + cv^{2})(a^{2}\alpha^{2} + b\alpha\beta + c\beta^{2}) = (u\beta - v\alpha)^{2}(b^{2} - 4a^{2}c),$$

we deduce

$$a^{2}\alpha^{2} + b\alpha\beta + c\beta^{2} = (p+q)/4.$$
(2.14)

Hence the unimodular transformation with matrix $\begin{bmatrix} u \\ v \end{bmatrix}$ changes the form (a^2, b, c) into

$$(a^{2}u^{2} + buv + cv^{2}, 2a^{2}u\alpha + bu\beta + bv\alpha + 2cv\beta, a^{2}\alpha^{2} + b\alpha\beta + c\beta^{2}) = (p, p, (p+q)/4).$$

Thus we have (see for example [3, p. 185])

$$(a, b, ac)^2 \sim (a^2, b, c) \sim (p, p, (p+q)/4),$$

which completes the proof of Theorem 1.

3. Determination of h(-pq) modulo 16; Proof of Theorem 2

By Theorem 1 the class of the form (a, b, ac) is of order 4 and so as the 2-Sylow subgroup of the class group of forms of discriminant -pq is cyclic, the form (a, b, ac) is equivalent to the square of a form (r, s, t), where we may take (r, 2pqac)=1. Hence (a, b, ac) represents r^2 primitively so that there are integers x and y such that

$$r^{2} = ax^{2} + bxy + acy^{2}, \quad x > 0, \quad (x, y) = 1.$$
 (3.1)

We define non-negative integers S and T by

$$S = |2Xx - aey|, \qquad T = |2Yx - auy|.$$
 (3.2)

Appealing to (1.1), (2.1), (2.2), (2.6) and (3.1) we obtain

$$4ar^2 = pS^2 + qT^2. ag{3.3}$$

From (3.3) we easily deduce that S and T are positive.

We now show that S and T have no odd common divisors greater than 1. Suppose k is an odd prime divisor of both S and T. Then k divides

$$u(2Xx - aey) - e(2Yx - auy)$$

= $2x(uX - eY)$
= $2x$ (by (2.1)),

that is k|x. Further from (3.3) we have $k|ar^2$ so that k|a or k|r. If k|a from (3.1) we have k|r contradicting (r, a) = 1. If k|r by (3.1) we have $k|acy^2$ contradicting (r, ac) = (x, y) = 1.

Similarly we can show that T and apr have no odd common divisors greater than 1.

We note that as a is represented by (a, b, ac) and the class of the form (a, b, ac) is in the principal genus we have

$$\left(\frac{a}{p}\right) = +1. \tag{3.4}$$

Further by (1.3) and (2.2) we have

$$a \equiv 1 \pmod{4}. \tag{3.5}$$

Then

$$\binom{r}{p} \binom{a}{p}_{4} = \binom{ar^{2}}{p}_{4} = \binom{2}{p} \binom{4ar^{2}}{p}_{4}$$
$$= \binom{-1}{p}_{4} \binom{qT^{2}}{p}_{4} \qquad (by (3.3))$$
$$= \binom{-q}{p}_{4} \binom{T}{p},$$

that is
$$(by (1.4))$$

$$\left(\frac{r}{p}\right)\left(\frac{a}{p}\right)_{4} = \left(\frac{T}{p}\right) = \left(\frac{2}{p}\right)^{n} \left(\frac{t}{p}\right), \tag{3.6}$$

where

$$T = 2^{n}t, \qquad t \text{ odd.} \tag{3.7}$$

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Then

$$\frac{t}{p} = \left(\frac{p}{t}\right)$$

$$= \left(\frac{pS^2}{t}\right)$$

$$= \left(\frac{4ar^2}{t}\right) \qquad (by (3.3))$$

$$= \left(\frac{a}{t}\right) \qquad (by (3.5))$$

$$= \left(\frac{2}{a}\right)^n \left(\frac{T}{a}\right) \qquad (by (3.7))$$

$$= \left(\frac{2}{a}\right)^n \left(\frac{|2Yx - auy|}{a}\right) \qquad (by (3.7))$$

$$= \left(\frac{2}{a}\right)^n \left(\frac{2Yx - auy|}{a}\right) \qquad (by (3.5))$$

$$= \left(\frac{2}{a}\right)^{n+1} \left(\frac{Y}{a}\right) \left(\frac{x}{a}\right) \qquad (by (3.5))$$

Now set

$$|y| = 2^m y_1, \quad y_1 \text{ odd}, \quad y_1 > 0,$$

so appealing to (3.1) and (3.5) we have

$$\left(\frac{y}{a}\right) = \left(\frac{|y|}{a}\right) = \left(\frac{2}{a}\right)^m \left(\frac{y_1}{a}\right) = \left(\frac{2}{a}\right)^m \left(\frac{a}{y_1}\right) = \left(\frac{2}{a}\right)^m,$$

giving

$$\left(\frac{t}{p}\right) = \left(\frac{2}{a}\right)^{m+n+1} \left(\frac{bY}{a}\right).$$

Next as $bY = pX - ua^2$ and using (3.4) we have

$$\begin{pmatrix} \frac{b}{a} \\ \frac{y}{a} \end{pmatrix} = \begin{pmatrix} \frac{p}{z} \\ \frac{x}{a} \end{pmatrix} = \begin{pmatrix} \frac{a}{p} \\ \frac{x}{z} \end{pmatrix} = \begin{pmatrix} \frac{x}{z} \\ \frac{x}{z} \end{pmatrix},$$
$$\begin{pmatrix} \frac{t}{p} \\ \frac{z}{z} \end{pmatrix} = \begin{pmatrix} \frac{2}{z} \\ \frac{x}{z} \end{pmatrix}^{m+n+1} \begin{pmatrix} \frac{x}{z} \\ \frac{x}{z} \end{pmatrix},$$

giving

so

$$\left(\frac{r}{p}\right) = \left(\frac{2}{p}\right)^n \left(\frac{2}{Z}\right)^{m+n+1} \left(\frac{X}{Z}\right) \left(\frac{a}{p}\right)_4.$$
(3.8)

Taking (1.1) modulo 8 we obtain $p + qY^2 \equiv 1 \pmod{8}$, so that

$$p \equiv 1 \pmod{8} \Rightarrow Y \equiv 0 \pmod{4},$$
$$p \equiv 5 \pmod{8} \Rightarrow Y \equiv 2 \pmod{4}.$$

We now treat the case $p \equiv 1 \pmod{8}$: we have

$$m = 0 \Rightarrow y \text{ odd} \Rightarrow T \text{ odd} \Rightarrow n = 0;$$

$$m = 1 \Rightarrow 2||y \Rightarrow 2||T \Rightarrow n = 1;$$

$$m = 2 \Rightarrow 4||y \Rightarrow 4||T \Rightarrow n = 2;$$

$$m \ge 3 \Rightarrow 8|y \Rightarrow x \text{ odd} \Rightarrow a \equiv 1 \pmod{8} \Rightarrow \left(\frac{2}{Z}\right) = +1;$$

so that in each case

$$\left(\frac{2}{p}\right)^n \left(\frac{2}{Z}\right)^{m+n} = 1.$$

For the case $p \equiv 5 \pmod{8}$ we have

$$m = 0 \Rightarrow y \text{ odd} \Rightarrow T \text{ odd} \Rightarrow n = 0;$$

 $m = 1 \Rightarrow 2 ||y \Rightarrow 4|S, 2||T \Rightarrow pS^2 + qT^2 \equiv 12 \pmod{16}$
 $\Rightarrow ar^2 \equiv 3 \pmod{4}$, which is impossible;

$$m = 2 \Rightarrow x \text{ odd}, 4 || y \Rightarrow a \equiv 5 \pmod{8} \Rightarrow \left(\frac{2}{Z}\right) = -1;$$

$$m \ge 3 \Rightarrow x \text{ odd}, 8|y \Rightarrow \begin{cases} a \equiv 1 \pmod{8} \Rightarrow \left(\frac{2}{Z}\right) = +1, \\ 4||T \Rightarrow n = 2; \end{cases}$$

so that again in each case we have

$$\left(\frac{2}{p}\right)^n \left(\frac{2}{Z}\right)^{m+n} = 1.$$

Hence by (3.8) we have

$$\binom{r}{p} = \binom{2}{Z} \binom{X}{Z} \binom{Z}{p}_{4}.$$

Now by a theorem of Bauer [1] (see also [2, Theorem 6])

$$h(-pq) \equiv 0 \pmod{16} \Leftrightarrow \left(\frac{r}{p}\right) = +1$$

so we have

$$h(-pq) \equiv 0 \pmod{16} \Leftrightarrow \left(\frac{Z}{p}\right)_4 = \left(\frac{2X}{Z}\right).$$

This completes the proof of Theorem 2.

We remark that Theorem 2 of Brown [4] is the special case of our Theorem 2 which arises when (1.1) has a solution with X = 1.

4. Examples

Example 1. p = 5, q = 19. Here

$$\left(\frac{q}{p}\right) = \left(\frac{19}{5}\right) = 1, \qquad \left(\frac{-q}{p}\right)_4 = \left(\frac{-19}{5}\right)_4 = +1.$$

A solution of (1.1)-(1.3) is given by

$$X = 1, \quad Y = 2, \quad Z = 9$$

so

$$\left(\frac{Z}{p}\right)_4 = \left(\frac{9}{5}\right)_4 = \left(\frac{3}{5}\right) = -1, \quad \left(\frac{2X}{Z}\right) = \left(\frac{2}{9}\right) = +1,$$

and Theorem 2 implies $h(-pq) = h(-95) \equiv 8 \pmod{16}$. Indeed h(-95) = 8.

Example 2. p = 37, q = 11.Here

$$\left(\frac{q}{p}\right) = \left(\frac{11}{37}\right) = \left(\frac{37}{11}\right) = \left(\frac{4}{11}\right) = +1, \quad \left(\frac{-q}{p}\right)_4 = \left(\frac{-11}{37}\right)_4 = \left(\frac{100}{37}\right)_4 = \left(\frac{10}{37}\right) = +1.$$

We start with a solution of (1.1) and (1.2) for which Z is even, say,

$$X = 1, \quad Y = 7, \quad Z = 24,$$

in order to illustrate how to obtain a solution which satisfies (1.3) as well. Since the fundamental unit of $Q(\sqrt{11})$ is $10+3\sqrt{11}$ we have

$$R = 10$$
, $S = 3$, $R_1 = 199$, $S_1 = 60$.

First we transform the solution (X, Y, Z) into a solution (X_1, Y_1, Z_1) with Z_1 odd:

$$X_1 = X = 1$$
, $Y_1 = RY + SZ = 142$, $Z_1 = qSY + RZ = 471$.

As $Z_1 \equiv 3 \pmod{4}$ we transform the solution (X_1, Y_1, Z_1) into a solution (X_2, Y_2, Z_2) with $Z_2 \equiv 1 \pmod{4}$:

$$X_2 = X_1 = 1, \quad Y_2 = R_1 Y_1 + S_1 Z_1 = 56518,$$

 $Z_2 = qS_1 Y_1 + R_1 Z_1 = 187449,$

so that

$$\left(\frac{Z_2}{p}\right)_4 = \left(\frac{187449}{37}\right)_4 = \left(\frac{7}{37}\right)_4 = \left(\frac{81}{37}\right)_4 = +1, \quad \left(\frac{2X_2}{Z_2}\right) = \left(\frac{2}{187449}\right) = +1,$$

and Theorem 2 implies $h(-pq) = h(-407) \equiv 0 \pmod{16}$. Indeed h(-407) = 16.

Example 3. p = 5, q = 79. Here

$$\left(\frac{q}{p}\right) = \left(\frac{79}{5}\right) = +1, \quad \left(\frac{-q}{p}\right)_4 = \left(\frac{-79}{5}\right)_4 = +1.$$

A solution of (1.1) and (1.2) is given by

$$X = 3, \quad Y = 2, \quad Z = 19.$$

As $Z \equiv 3 \pmod{4}$ we transform this solution into one for which $Z \equiv 1 \pmod{4}$ obtaining

$$X = 3$$
, $Y = 52958$, $Z = 470701$,

so that

$$\left(\frac{Z}{p}\right)_4 = +1, \quad \left(\frac{2X}{Z}\right) = \left(\frac{2}{Z}\right)\left(\frac{3}{Z}\right) = (-1)(+1) = -1,$$

and Theorem 2 implies $h(-pq) = h(-395) \equiv 8 \pmod{16}$. Indeed h(-395) = 8.

This example illustrates Theorem 2 in a situation where (1.1) has no solution with X = 1 as

$$u^2 - 79v^2 = 5$$

is insolvable in integers u and v (see for example [7, Theorem 109]).

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