

# Inverse Semigroups in $C^*$ -algebras

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A  $C^*$ -algebra is a set  $A$  which:

- 1 is an algebra over  $\mathbb{C}$ ,
- 2 has an involution  $a \mapsto a^*$  which is conjugate linear, and  $(ab)^* = b^* a^*$ ,
- 3 has a norm  $\|\cdot\|$  with which it is complete normed algebra (i.e. it is a Banach algebra)
- 4 for all  $a \in A$ ,  $\|a^* a\| = \|a\|^2$  (the  $C^*$ -condition).

Examples:

- 1  $\mathbb{C}$
- 2  $M_n(\mathbb{C})$  the  $n \times n$  matrices over  $\mathbb{C}$
- 3  $B(\mathcal{H})$ , the bounded operators on a Hilbert space  $\mathcal{H}$ .

$X$  – compact Hausdorff space

$$C(X) = \{f : X \rightarrow \mathbb{C} \mid f \text{ is continuous}\}$$

This is a  $C^*$ -algebra with pointwise sum, product, and complex conjugate, with

$$\|f\| = \sup_{x \in X} |f(x)|$$

If  $X$  is only locally compact,  $C_0(X)$  is a  $C^*$ -algebra, but without a unit.

## Theorem (Gelfand-Naimark)

- 1 *Every commutative  $C^*$ -algebra is isomorphic to  $C_0(X)$  for some locally compact  $X$ .*
- 2  *$C_0(X)$  and  $C_0(Y)$  are isomorphic if and only if  $X$  and  $Y$  are homeomorphic.*

$C^*$ -algebras are “noncommutative geometry”

## Theorem (Gelfand-Naimark-Segal construction)

*Every  $C^*$ -algebra is isomorphic to a norm-closed subalgebra of  $B(\mathcal{H})$  for some Hilbert space  $\mathcal{H}$ .*

# Inverse semigroups in $C^*$ -algebras

A **projection** is an element  $p \in A$  such that

$$p = p^2 = p^*$$

An **isometry** is an element  $s \in A$  such that

$$s^*s = 1$$

A **partial isometry** is an element  $s \in A$  such that

$$ss^*s = s$$

equivalently,  $s^*s$  and  $ss^*$  are both projections

Any set of partial isometries  $S \subset A$  closed under multiplication and involution is an inverse semigroup, and  $E(S)$  is a commuting set of projections.

## Example: $2 \times 2$ matrices

$\mathbb{M}_2(\mathbb{C})$  –  $2 \times 2$  matrices over  $\mathbb{C}$ .

$$S_2 = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \right\}$$

All **matrix units**, together with identity and zero.

$S_2$  is an inverse semigroup which generates  $\mathbb{M}_2(\mathbb{C})$

$$\mathcal{I}_2 = S_2 \cup \left\{ \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \right\}$$

All **rook matrices**, also an inverse semigroup which generates  $\mathbb{M}_2(\mathbb{C})$

$\mathcal{I}_2$  is isomorphic to the symmetric inverse monoid on the two element set.

# Inverse semigroups in $C^*$ -algebras

Question: can every inverse semigroup be realized as a set of partial isometries in some  $C^*$ -algebra?

Answer: Yes – Paterson (99)

$\pi : S \rightarrow A$  is a **representation** if  $\pi(st) = \pi(s)\pi(t)$  and  $\pi(0) = 0$ .

There exists  $C^*(S)$  which is **universal** for representations of  $S$ .

$C^*(S) = C^*(\mathcal{G}_u(S))$  for an **étale groupoid**  $\mathcal{G}_u(S)$  constructed from  $S$ .

$\mathcal{G}_u(S)^{(0)}$  is homeomorphic to the space of **filters** in  $E(S)$ , and  $C_0(\mathcal{G}_u(S)^{(0)}) = C^*(E(S))$  is always a commutative subalgebra of  $C^*(S)$ .

## Example: $2 \times 2$ matrices

$\mathbb{M}_2(\mathbb{C})$  –  $2 \times 2$  matrices over  $\mathbb{C}$

$e_{ij}$  = matrix with 1 in  $(i, j)$  entry, 0 elsewhere.

$$E(S_2) = \{1_2, e_{11}, e_{22}, 0_2\}$$

Set of filters =  $\{\{1_2\}, \{1_2, e_{11}\}, \{1_2, e_{22}\}\}$

$$C(\mathcal{G}_u(S_2))^{(0)} = \mathbb{C}^3$$

Even though it “feels like”  $C^*(S_2)$  should be  $\mathbb{M}_2(\mathbb{C})$ , it cannot be.

$C^*(S_2) \cong \mathbb{M}_2(\mathbb{C}) \oplus \mathbb{C}$ , with universal representation given by

$$\pi_u \left( \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \pi_u \left( \begin{bmatrix} a & b \\ c & d \end{bmatrix} \right) = \begin{bmatrix} a & b & 0 \\ c & d & 0 \\ 0 & 0 & 0 \end{bmatrix} \text{ else}$$



## Example: $2 \times 2$ matrices

$$\pi_u \left( \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \pi_u \left( \begin{bmatrix} a & b \\ c & d \end{bmatrix} \right) = \begin{bmatrix} a & b & 0 \\ c & d & 0 \\ 0 & 0 & 0 \end{bmatrix} \text{ else}$$

$\pi_u(E(S_2))$  is a commuting set of projections, and two commuting projections in a  $C^*$ -algebra always have a **join**:

$$e \vee f = e + f - ef$$

$$\pi_u(e_{11}) \vee \pi_u(e_{22}) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \neq \pi_u(1_2) = \pi_u(e_{11} \vee e_{22})$$

If we want to recover  $M_2(\mathbb{C})$ , we would like to look at representations which preserve joins.

# Exel's tight representations

The above example was special,  $E(S_2)$  is a Boolean algebra, and has joins.

In general,  $E(S)$  won't have joins.

$C \subset_{\text{fin}} E(S)$  is a **cover** for  $e \in E(S)$  if for all  $0 \neq f \leq e$ , there is a  $c \in C$  such that  $fc \neq 0$ .

Exel (08) introduced the notion of a **tight** representation.

$\pi$  is **tight** if whenever  $C$  is a cover for  $e$ , we have  $\bigvee_{c \in C} \pi(c) = \pi(e)$  ( $\pm \epsilon$ )

$C_{\text{tight}}^*(S)$  universal for tight representations.

$$C_{\text{tight}}^*(S_2) \cong M_2(\mathbb{C})$$

# Example: Cuntz algebras

## Example: Cuntz algebras

$\ell^2$ : Hilbert space of square-summable complex sequences.

Define  $s_0, s_1 \in B(\ell^2)$  by

$$s_0(x_1, x_2, x_3, \dots) = (x_1, 0, x_2, 0, x_3, 0, \dots)$$

$$s_1(x_1, x_2, x_3, \dots) = (0, x_1, 0, x_2, 0, x_3, \dots)$$

$$s_0^*(x_1, x_2, x_3, \dots) = (x_1, x_3, x_5, \dots)$$

$$s_1^*(x_1, x_2, x_3, \dots) = (x_2, x_4, x_6, \dots)$$

$$s_0^* s_0 = 1 = s_1^* s_1$$

$s_0 s_0^*$  = projection onto odd coordinates

$s_1 s_1^*$  = projection onto even coordinates

## Example: Cuntz algebras

Then

$$s_0^* s_0 = 1 = s_1^* s_1$$

$$s_0 s_0^* s_1 s_1^* = 0$$

$$s_0 s_0^* + s_1 s_1^* = 1$$

Cuntz (77) showed that the  $C^*$ -algebra generated by  $s_0, s_1$ , denoted  $\mathcal{O}_2$  depended only on the relations above.

Analogous construction for  $\mathcal{O}_n$  – these are the **Cuntz algebras**. They were the first examples of separable **simple**  $C^*$ -algebras which are **infinite** (ie, contain a proper isometry).

# Example: Cuntz algebras

$\{0, 1\}^* =$  (possibly empty) words in  $\{0, 1\}$

For  $\alpha \in \mathcal{A}^*$ , let  $s_\alpha := s_{\alpha_1} s_{\alpha_2} \cdots s_{\alpha_{|\alpha|}}$ , and note  $s_\alpha^* = s_{\alpha_{|\alpha|}}^* \cdots s_{\alpha_2}^* s_{\alpha_1}^*$

Let  $s_\emptyset = 1$

$s_\alpha s_\beta = s_{\alpha\beta}$  and  $s_\alpha^* s_\beta^* = s_{\beta\alpha}^*$

$P_2 = \{s_\alpha s_\beta^* \mid \alpha, \beta \in \{0, 1\}^*\} \cup \{0\}$  polycyclic monoid

$$(s_\alpha s_\beta^*)(s_\gamma s_\nu^*) = \begin{cases} s_{\alpha\gamma'} s_\nu^* & \text{if } \gamma = \beta\gamma' \\ s_\alpha s_{\nu\beta'}^* & \text{if } \beta = \gamma\beta' \\ 0 & \text{otherwise} \end{cases}$$

$E(P_2) = \{s_\alpha s_\alpha^* \mid \alpha \in \{0, 1\}^*\} \cup \{0\}$

## Example: Cuntz algebras

$$s_0^* s_0 = 1 = s_1^* s_1$$

$$s_0 s_0^* s_1 s_1^* = 0$$

$$s_0 s_0^* + s_1 s_1^* = 1$$

$C^*(P_2) \cong \mathcal{T}_2$ . This is the universal  $C^*$ -algebra generated by elements as above, with the last relation removed.

$$C_{\text{tight}}^*(P_2) \cong \mathcal{O}_2$$

The last relation is the one which involves more than the multiplicative semigroup structure.

$s, t \in S$  are called **compatible** if  $s^*t, st^* \in E(S)$ .  $F \subset S$  is compatible if its elements are pairwise compatible.

## Definition

$S$  is a **Boolean inverse monoid** if

- 1 for all compatible  $F \subset_{\text{fin}} S$ , the join  $\bigvee F$  exists, and for all  $s \in S$ ,

$$s \bigvee F = \bigvee sF \quad \left( \bigvee F \right) s = \bigvee Fs$$

- 2  $E(S)$  is a Boolean algebra

# Boolean inverse monoids

Pairs of elements in  $P_2$  may have a join outside of  $P_2$

Eg: in  $P_2$ ,  $s_{00}s_{00}^*$  and  $s_{11}s_{11}^*$  don't have a join in  $P_2$ , but in  $\mathcal{O}_2$ , we have  $s_{00}s_{00}^* + s_{11}s_{11}^*$

Lawson, Scott – create a Boolean inverse monoid which contains all possible joins  $P_2$ .

View  $P_2$  as a subsemigroup of  $\mathcal{I}(\{0, 1\}^{\mathbb{N}})$ :

$$s_{\alpha}s_{\beta}^* : \beta\{0, 1\}^{\mathbb{N}} \rightarrow \alpha\{0, 1\}^{\mathbb{N}}$$

$$s_{\alpha}s_{\beta}^*(\beta x) = \alpha x$$

Let  $C_2$  be the set of all joins of finite compatible sets in  $P_2$ , this is a Boolean inverse monoid called the **Cuntz monoid**.



# $C^*$ -algebras of Boolean inverse monoids

If  $S$  is a Boolean inverse monoid, a **Boolean inverse monoid representation** of  $S$  is a representation  $\pi : S \rightarrow A$  such that for all  $e, f \in E(S)$

$$\pi(e \vee f) = \pi(e) \vee \pi(f) = \pi(e) + \pi(f) - \pi(e f)$$

This is equivalent to saying that, for all compatible  $s, t \in S$ , we have

$$\pi(s \vee t) = \pi(s) + \pi(t) - \pi(ss^*t)$$

$C_B^*(S)$  – universal  $C^*$ -algebra for Boolean inverse monoid representations of  $S$ .

## Observation

- 1 *a representation is a Boolean inverse monoid representation if and only if it is a tight representation*
- 2  $C_B^*(S) = C_{\text{tight}}^*(S)$ .

Many  $C^*$ -algebras have been identified as the tight  $C^*$ -algebra of a generating inverse semigroup:

- Graph  $C^*$ -algebras (Exel 2008)
- Tiling  $C^*$ -algebras (Exel-Gonçalves-S 2012)
- Self-similar group  $C^*$ -algebras (Exel-Pardo 2014)
- Katsura algebras (Exel-Pardo 2014)
- $C^*$ -algebras of right LCM semigroups (S 2015)
- Carlsen-Matsumoto subshift algebras (S 2015)
- AF  $C^*$ -algebras (Lawson-Scott 2014, S 2016)
- Any  $C^*$ -algebra of an ample étale groupoid (Exel 2010)
- $C^*$ -algebras of Boolean dynamical systems (Carlsen-Ortega-Pardo 2016)
- $C^*$ -algebras of labeled spaces (Boava-de Castro-Mortari 2016)

# Simplicity of $C^*$ -algebras of inverse semigroups

General question: given two  $C^*$ -algebras  $A, B$ , how can we tell if  $A \cong B$ ?

Recall that commutative  $C^*$ -algebras  $\leftrightarrow$  locally compact Hausdorff spaces.

In topology, the problem of deciding when two spaces are homeomorphic are aided by **invariants** like **homology**.

$X$  – topological space,  $H_*(X) = \bigoplus_{n \geq 0} H_n(X)$  finitely generated abelian groups.

$$X \cong Y \Rightarrow H_*(X) \cong H_*(Y).$$

For some classes of spaces, isomorphism of homology implies isomorphism of spaces – ie homology is a **complete invariant** of surfaces.

# Simplicity of $C^*$ -algebras of inverse semigroups

In  $C^*$ -algebras, we have **K-theory**,  $K_0(A)$ ,  $K_1(A)$ , abelian groups.

For some classes of  $C^*$ -algebras, the K-theory  $(\pm\epsilon)$  is a complete invariant.

Determining which classes can be classified by K-theory is the **Elliott program**.

Most classes known to be classified by K-theoretical data consist of **simple**  $C^*$ -algebras (**simple** = no closed two-sided ideals).

We would like to determine when  $C_{\text{tight}}^*(S)$  is simple (for example), in terms of properties of  $S$ .

## Theorem (Renault, Brown-Clark-Farthing-Sims)

Let  $\mathcal{G}$  be a Hausdorff étale groupoid. Then  $C^*(\mathcal{G})$  is simple if and only if

- 1  $\mathcal{G}$  is **minimal** (every orbit is dense)
- 2  $\mathcal{G}$  is **effective** (the interior of the isotropy group bundle is the unit space), and
- 3  $\mathcal{G}$  satisfies **weak containment** ( $C_r^*(\mathcal{G}) \cong C^*(\mathcal{G})$ ).

Exel-Pardo and Steinberg (2015) gave conditions on an inverse semigroup to ensure that  $\mathcal{G}_{\text{tight}}$  satisfies the conditions above (except weak containment).

# Simplicity of $C^*$ -algebras of inverse semigroups

$\mathcal{G}_{\text{tight}}$  Hausdorff:

$\Leftrightarrow$  For all  $s \in S$ , the set  $\mathcal{J}_s = \{e \in E(S) \mid e \leq s\}$  has a finite cover.

Boolean inverse monoid case –  $\Leftrightarrow S$  is a meet Boolean inverse monoid.

$\mathcal{G}_{\text{tight}}$  Minimal:

$\Leftrightarrow$  For every nonzero  $e, f \in E(S)$ , there exist  $F \subset_{\text{fin}} S$  such that  $\{esfs^* \mid s \in F\}$  is a cover for  $\{e\}$ .

Boolean inverse monoid case –  $\Leftrightarrow$  for every nonzero  $e, f \in E(S)$ , there exist  $F \subset_{\text{fin}} S$  such that  $e \leq \bigvee_{s \in F} sfs^*$ .

# Simplicity of $C^*$ -algebras of inverse semigroups

Say an idempotent  $e \leq s^*s$  is

- 1 **fixed** by  $s$  if  $se = e$
- 2 **weakly fixed** by  $s$  if for all  $0 \neq f \leq e$ ,  $fsfs^* \neq 0$

$\mathcal{G}_{\text{tight}}$  **Effective:**

(if Hausdorff)  $\Leftrightarrow$  For every  $s \in S$  and every  $e \in E(S)$  weakly fixed by  $s$ , there exists a finite cover for  $\{e\}$  by fixed idempotents.

**Boolean inverse monoid case** – (if Hausdorff)  $\Leftrightarrow$  for every  $s \in S$ ,  $e$  weakly fixed by  $s$  implies  $e$  is fixed by  $s$ .

## Remark

*If  $A \cong C_{\text{tight}}^*(S)$  for some inverse semigroup  $S$ , it can be realized as  $C_B^*(T)$  for some Boolean inverse monoid  $T$ .*

$C_{\text{tight}}^*(S) = C^*(\mathcal{G}_{\text{tight}}(S))$  and  $\mathcal{G}_{\text{tight}}(S)$  is **ample**. Its ample semigroup is a Boolean inverse monoid whose  $C^*$ -algebra is exactly  $C^*(\mathcal{G}_{\text{tight}}(S))$ . This is in fact true for all  $C^*$ -algebras of ample étale groupoids (Exel 2010).

Often, it is easier to describe a generating inverse semigroup combinatorially.



# Inverse Semigroup – $C^*$ -algebra dictionary

Inverse semigroup	$C^*$ -algebra	Groupoid
$s \in S$	partial isometry	compact open bisection
$e \in E(S)$	projection	compact open set of units
Green's relation $\mathcal{D}$	Murray-von Neumann equivalence	
Type monoid	K-theory	
Boolean inverse monoid		<b>all</b> compact open bisections
Invariant mean	trace	invariant measure
Coffee	Coffee	Coffee