

## SOME PRODUCT-TO-SUM IDENTITIES

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### Abstract

The infinite products

$$\prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n})^2, \quad \prod_{n=1}^{\infty} (1 + \sqrt{3}q^n + q^{2n})^3$$

and

$$\prod_{n=1}^{\infty} \left(1 + \frac{(1 + \sqrt{5})}{2}q^n + q^{2n}\right)^5$$

are determined.

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### 1. Introduction

Let  $\mathbb{N} = \{1, 2, 3, \dots\}$  and  $\mathbb{Z} = \{0, \pm 1, \pm 2, \dots\}$ . Throughout this paper  $q$  denotes a complex number satisfying  $|q| < 1$ . For  $k \in \mathbb{N}$  we define

$$E_k = E_k(q) := \prod_{n=1}^{\infty} (1 - q^{kn}). \quad (1.1)$$

Ramanujan's theta function  $\varphi$  is defined by

$$\varphi(q) = \sum_{n=-\infty}^{\infty} q^{n^2}, \quad (1.2)$$

see for example [2, p. 6]. The following infinite product representation of  $\varphi$  follows from Jacobi's triple product identity [2, p. 10], namely,

$$\varphi(q) = \frac{E_2^5}{E_1^2 E_4^2}, \quad (1.3)$$

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see for example [2, p. 11]. As

$$E_1(-q) = \frac{E_2^3}{E_1 E_4},$$

changing  $q$  to  $-q$  in (1.3), we obtain

$$\varphi(-q) = \frac{E_1^2}{E_2}. \quad (1.4)$$

The two-dimensional theta function  $a(q)$  of the Borweins [3] is defined by

$$a(q) = \sum_{x,y=-\infty}^{\infty} q^{x^2+xy+y^2}. \quad (1.5)$$

For  $a \in \mathbb{Z}$ ,  $m \in \mathbb{N}$  and  $n \in \mathbb{N}$ , we define

$$d_{a,m}(n) = \sum_{\substack{d \mid n \\ d \equiv a \pmod{m}}} 1. \quad (1.6)$$

The following expansions are well-known:

$$\varphi^2(q) = 1 + 4 \sum_{n=1}^{\infty} (d_{1,4}(n) - d_{3,4}(n)) q^n, \quad [2, \text{p. 58}] \quad (1.7)$$

$$\varphi(q)\varphi(q^2) = 1 + 2 \sum_{n=1}^{\infty} (d_{1,8}(n) + d_{3,8}(n) - d_{5,8}(n) - d_{7,8}(n)) q^n, \quad [2, \text{p. 74}] \quad (1.8)$$

$$\varphi(q)\varphi(q^3) = 1 + 2 \sum_{n=1}^{\infty} (d_{1,3}(n) - d_{2,3}(n)) q^n + 4 \sum_{n=1}^{\infty} (d_{1,3}(n) - d_{2,3}(n)) q^{4n}, \quad [2, \text{p. 75}] \quad (1.9)$$

$$a(q) = 1 + 6 \sum_{n=1}^{\infty} (d_{1,3}(n) - d_{2,3}(n)) q^n. \quad [4, \text{p. 43}] \quad (1.10)$$

From (1.7) we obtain

$$\begin{aligned} \varphi^2(q) &= 1 + 4 \sum_{n=1}^{\infty} (d_{1,12}(n) + d_{5,12}(n) + d_{9,12}(n) - d_{3,12}(n) - d_{7,12}(n) - d_{11,12}(n)) q^n \\ &= 1 + 4 \sum_{n=1}^{\infty} (d_{1,12}(n) + d_{5,12}(n) - d_{7,12}(n) - d_{11,12}(n)) q^n \\ &\quad - 4 \sum_{n=1}^{\infty} (d_{1,4}(n) - d_{3,4}(n)) q^{3n} \end{aligned}$$

so that

$$\varphi^2(q) + \varphi^2(q^3) = 2 + 4 \sum_{n=1}^{\infty} (d_{1,12}(n) + d_{5,12}(n) - d_{7,12}(n) - d_{11,12}(n)) q^n. \quad (1.11)$$

From (1.10) we deduce

$$\begin{aligned} a(q) &= 1 + 6 \sum_{n=1}^{\infty} (d_{1,6}(n) + d_{4,6}(n) - d_{2,6}(n) - d_{5,6}(n)) q^n \\ &= 1 + 6 \sum_{n=1}^{\infty} (d_{1,6}(n) - d_{5,6}(n)) q^n - 6 \sum_{n=1}^{\infty} (d_{1,3}(n) - d_{2,3}(n)) q^{2n} \end{aligned}$$

so that

$$a(q) + a(q^2) = 2 + 6 \sum_{n=1}^{\infty} (d_{1,6}(n) - d_{5,6}(n)) q^n. \quad (1.12)$$

Recently Alaca, Alaca, Uygul and Williams [1] determined the number of representations of a positive integer by certain diagonal, sextenary, quadratic forms whose coefficients are 1, 2 and 4. In the course of the proof of an identity needed in the proof of their results, they established the new identity

$$(\sqrt{2}-1) \prod_{n=1}^{\infty} (1 - \sqrt{2}q^n + q^{2n})^2 + (\sqrt{2}+1) \prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n})^2 = 2\sqrt{2} \frac{E_4^4}{E_1^2 E_2 E_8}, \quad (1.13)$$

see [1, eq. (3.9), p. 299]. They deduced this result from a theorem about Weierstrass sigma functions, see [9, Example 3, p. 451]. Moreover they proved the identity (1.13) without determining  $\prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n})^2$  and  $\prod_{n=1}^{\infty} (1 - \sqrt{2}q^n + q^{2n})^2$  individually. In this paper we evaluate each of the two infinite products  $\prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n})^2$  and  $\prod_{n=1}^{\infty} (1 - \sqrt{2}q^n + q^{2n})^2$  in terms of  $\varphi, E_1, E_2, E_4$  and  $E_8$ . We prove

**Theorem 1.1.** *For  $q \in \mathbb{C}$  with  $|q| < 1$  we have*

$$\prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n})^2 = (\sqrt{2}-1) \frac{E_2 E_8}{E_1^2 E_4} (\varphi(q) + \sqrt{2}\varphi(q^2))$$

and

$$\prod_{n=1}^{\infty} (1 - \sqrt{2}q^n + q^{2n})^2 = (-\sqrt{2}-1) \frac{E_2 E_8}{E_1^2 E_4} (\varphi(q) - \sqrt{2}\varphi(q^2)).$$

It is easy to check that the evaluations given in Theorem 1.1 satisfy (1.13) as  $\varphi(q^2) = E_4^5 / (E_2^2 E_8^2)$  by (1.3). Moreover, as

$$\prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n}) (1 - \sqrt{2}q^n + q^{2n}) = \prod_{n=1}^{\infty} (1 + q^{4n}) = \frac{E_8}{E_4},$$

multiplying the two evaluations in Theorem 1.1 together, we recover the well-known theta function identity [2, p. 72]

$$\varphi^2(q) - 2\varphi^2(q^2) = -\frac{E_1^4}{E_2^2} = -\varphi^2(-q).$$

We deduce Theorem 1.1 as a special case of a general product-to-sum identity, which we prove in Section 2, see Proposition 2.1. We also deduce from Proposition 2.1 the analogous results to Theorem 1.1 when  $\sqrt{2}$  is replaced by  $\sqrt{3}$  and  $(1 + \sqrt{5})/2$ .

**Theorem 1.2.** *For  $q \in \mathbb{C}$  with  $|q| < 1$  we have*

$$\prod_{n=1}^{\infty} (1 + \sqrt{3}q^n + q^{2n})^3 = \frac{(3\sqrt{3}-5)}{4} \frac{E_2^5 E_3^3 E_{12}^3}{E_1^5 E_4^2 E_6^6} \left( \varphi^2(q) + 9\varphi^2(q^3) + 6\sqrt{3} \frac{\varphi^3(-q^6)}{\varphi(-q^2)} \right)$$

and

$$\prod_{n=1}^{\infty} (1 - \sqrt{3}q^n + q^{2n})^3 = \frac{(-3\sqrt{3}-5)}{4} \frac{E_2^5 E_3^3 E_{12}^3}{E_1^5 E_4^2 E_6^6} \left( \varphi^2(q) + 9\varphi^2(q^3) - 6\sqrt{3} \frac{\varphi^3(-q^6)}{\varphi(-q^2)} \right).$$

**Theorem 1.3.** *For  $q \in \mathbb{C}$  with  $|q| < 1$  we have*

$$\begin{aligned} \prod_{n=1}^{\infty} \left( 1 + \frac{(1+\sqrt{5})}{2} q^n + q^{2n} \right)^5 &= \frac{E_5^3}{E_1^5} \left( 1 + \frac{(5\sqrt{5}-5)}{2} \sum_{n=1}^{\infty} (d_{1,5}(n) - d_{4,5}(n)) q^n \right. \\ &\quad \left. + \frac{(35-15\sqrt{5})}{2} \sum_{n=1}^{\infty} (d_{2,5}(n) - d_{3,5}(n)) q^n \right) \end{aligned}$$

and

$$\begin{aligned} \prod_{n=1}^{\infty} \left( 1 + \frac{(1-\sqrt{5})}{2} q^n + q^{2n} \right)^5 &= \frac{E_5^3}{E_1^5} \left( 1 + \frac{(-5\sqrt{5}-5)}{2} \sum_{n=1}^{\infty} (d_{1,5}(n) - d_{4,5}(n)) q^n \right. \\ &\quad \left. + \frac{(35+15\sqrt{5})}{2} \sum_{n=1}^{\infty} (d_{2,5}(n) - d_{3,5}(n)) q^n \right). \end{aligned}$$

## 2. A Product-to-Sum Identity

In this section we prove the product-to-sum formula given in Proposition 2.1. In Section 3 we deduce Theorem 1.1 from Proposition 2.1 by taking  $m = 8$ . In Section 4 we take  $m = 12$  to obtain Theorem 1.2 and in Section 5 we take  $m = 5$  to obtain Theorem 1.3.

**Proposition 2.1.** *Let  $m$  be a positive integer with  $m \geq 4$ . Define*

$$\begin{aligned} \omega_m &:= e^{2\pi i/m}, \quad \lambda_m := \omega_m + \omega_m^{-1}, \\ \Delta(k, m) &:= 3\omega_m^k - \omega_m^{3k} + \omega_m^{(m-3)k} - 3\omega_m^{(m-1)k}, \quad k \in \mathbb{Z}, \end{aligned}$$

and

$$c_m := \begin{cases} -\omega_m^3(1 - \omega_m^3)(1 + \omega_m^2 + \omega_m^4 + \cdots + \omega_m^{m-3})^3 & \text{if } m \text{ is odd,} \\ \frac{8}{m^3}(1 - \omega_m^3)(1 - \omega_m)^3 \prod_{r=2}^{m/2-1} (1 - \omega_m^{2r})^3 & \text{if } m \text{ is even.} \end{cases}$$

Then

$$\prod_{n=1}^{\infty} \frac{(1 - (\lambda_m^2 - 2)q^n + q^{2n})^3 (1 - q^n)^2}{(1 - \lambda_m q^n + q^{2n})^3 (1 - (\lambda_m^3 - 3\lambda_m)q^n + q^{2n})} = 1 + c_m \sum_{k=1}^{m-1} \Delta(k, m) \sum_{n=1}^{\infty} d_{k,m}(n) q^n.$$

**Proof.** Let  $q$  be a complex number satisfying  $|q| < 1$ . Let  $a$  be a complex variable with  $a \neq 0$ . We consider the function

$$f(a) := \prod_{n=1}^{\infty} \frac{(1-a^2 q^n)^3 (1-a^{-2} q^n)^3 (1-q^n)^2}{(1-a q^n)^3 (1-a^{-1} q^n)^3 (1-a^3 q^n) (1-a^{-3} q^n)}. \quad (2.1)$$

As  $|q| < 1$  and  $a \neq 0$  the infinite products

$$\prod_{n=1}^{\infty} (1-b q^n), \quad b = 1, a, a^{-1}, a^2, a^{-2}, a^3, a^{-3},$$

converge (absolutely) as  $|b| \sum_{n=1}^{\infty} |q|^n$  converges. Provided  $a^3 \neq q^n$  for any  $n \in \mathbb{Z} \setminus \{0\}$  the infinite products

$$\prod_{n=1}^{\infty} (1-b q^n), \quad b = a, a^{-1}, a^3, a^{-3},$$

do not converge to 0. Thus  $f(a)$  is an analytic function of  $a \in \mathbb{C} \setminus \{0\}$  except for poles at points  $a$  where  $a^3 = q^n$  for some  $n \in \mathbb{Z} \setminus \{0\}$ .

Further, we define for  $a \neq 0$  (and  $|q| < 1$ )

$$F(a) := \frac{(1+a)^3}{1-a^3} \prod_{n=1}^{\infty} \frac{(1-a^2 q^n)^3 (1-a^{-2} q^n)^3 (1-q^n)^2}{(1-a q^n)^3 (1-a^{-1} q^n)^3 (1-a^3 q^n) (1-a^{-3} q^n)} \quad (2.2)$$

so that

$$F(a) = \frac{(1+a)^3}{1-a^3} f(a). \quad (2.3)$$

A straightforward calculation shows that

$$F(aq) = F(a) \quad (2.4)$$

from which it follows that

$$F(aq^n) = F(a), \quad n \in \mathbb{Z}. \quad (2.5)$$

Let  $\omega$  denote a complex cube root of unity. Simple calculations show that

$$f(1) = f(\omega) = f(\omega^2) = 1, \quad f(-1) = \prod_{n=1}^{\infty} \frac{(1-q^n)^8}{(1+q^n)^8} \neq 0, \quad F(-1) = 0. \quad (2.6)$$

Fix a cube root  $q^{1/3}$  of  $q$ . It is easy to deduce from (2.1) that for  $r \in \{0, 1, 2\}$

$$\begin{cases} \lim_{b \rightarrow \omega^r q^{1/3}} (1-b^{-3} q) f(b) = \frac{1-q}{(1+\omega^r q^{1/3})^3}, \\ \lim_{b \rightarrow \omega^r q^{-1/3}} (1-b^3 q) f(b) = \frac{1-q}{(1+\omega^{-r} q^{1/3})^3}. \end{cases} \quad (2.7)$$

The poles of  $F(a)$  arise from the factors  $1 - a^3$ ,  $1 - a^3 q^n$  ( $n \in \mathbb{N}$ ) and  $1 - a^{-3} q^n$  ( $n \in \mathbb{N}$ ). No poles arise from  $1 - aq^n$  as the factor  $(1 - aq^n)^3$  in the denominator of  $F(a)$  cancels into the factor  $(1 - a^2 q^{2n})^3$  in the numerator. Similarly no poles arise from  $1 - a^{-1} q^n$ . Thus all the poles of  $F(a)$  are given by  $a^3 = q^n$  ( $n \in \mathbb{Z}$ ), that is, by

$$a = \omega^r q^{n/3}, \quad r \in \{0, 1, 2\}, \quad n \in \mathbb{Z}. \quad (2.8)$$

All the poles are simple. Before determining the residue of  $F(a)$  at the simple pole  $a = \omega^r q^{n/3}$ , we note the following limit. For  $r \in \{0, 1, 2\}$  we have

$$\lim_{b \rightarrow \omega^r} \frac{b - \omega^r}{1 - b^{-3}} = \lim_{b \rightarrow \omega^r} \frac{b^3}{b^3 - 1} = \frac{\omega^{3r}}{3\omega^{2r}} = \frac{1}{3}\omega^r. \quad (2.9)$$

From (2.9) we deduce

$$\lim_{b \rightarrow \omega^r q^{1/3}} \frac{b - \omega^r q^{1/3}}{1 - b^{-3}q} = \frac{1}{3}\omega^r q^{1/3} \quad (2.10)$$

and

$$\lim_{b \rightarrow \omega^r q^{-1/3}} \frac{b - \omega^r q^{-1/3}}{1 - b^3 q} = -\frac{1}{3}\omega^r q^{-1/3}. \quad (2.11)$$

For  $n \in \mathbb{Z}$  set  $n = 3k + s$ , where  $k \in \mathbb{Z}$  and  $s \in \{-1, 0, 1\}$ . The residue of  $F(a)$  at the simple pole  $a = \omega^r q^{n/3} = \omega^r q^{s/3} q^k$  is

$$\begin{aligned} \text{Res}_{a=\omega^r q^{s/3} q^k} F(a) &= \lim_{a \rightarrow \omega^r q^{s/3} q^k} (a - \omega^r q^{s/3} q^k) F(a) = \lim_{b \rightarrow \omega^r q^{s/3}} (b q^k - \omega^r q^{s/3} q^k) F(b q^k) \\ &= q^k \lim_{b \rightarrow \omega^r q^{s/3}} (b - \omega^r q^{s/3}) F(b) \quad (\text{by (2.5)}) \\ &= q^k \lim_{b \rightarrow \omega^r q^{s/3}} (b - \omega^r q^{s/3}) \frac{(1+b)^3}{1-b^3} f(b) \quad (\text{by (2.3)}). \end{aligned}$$

If  $s = 0$  the residue is

$$\begin{aligned} q^k \lim_{b \rightarrow \omega^r} (b - \omega^r) \frac{(1+b)^3}{1-b^3} f(b) &= q^k (1 + \omega^r)^3 f(\omega^r) (-\omega^r)^{-3} \lim_{b \rightarrow \omega^r} \frac{b - \omega^r}{1 - b^{-3}} \\ &= \frac{-1}{3} q^k (1 + \omega^r)^3 \omega^r \quad (\text{by (2.6) and (2.9)}) \\ &= \begin{cases} -\frac{8}{3} q^k & \text{if } r = 0, \\ \frac{1}{3} q^k \omega^r & \text{if } r = 1, 2. \end{cases} \end{aligned}$$

If  $s = 1$  the residue is

$$\begin{aligned} q^k \lim_{b \rightarrow \omega^r q^{1/3}} (b - \omega^r q^{1/3}) \frac{(1+b)^3}{1-b^3} f(b) &= q^k \frac{(1+\omega^r q^{1/3})^3}{1-q} \lim_{b \rightarrow \omega^r q^{1/3}} \frac{b - \omega^r q^{1/3}}{1-b^{-3}q} (1-b^{-3}q) f(b) \\ &= q^k \frac{(1+\omega^r q^{1/3})^3}{1-q} \frac{1}{3} \omega^r q^{1/3} \frac{1-q}{(1+\omega^r q^{1/3})^3} \quad (\text{by (2.7) and (2.10)}) \\ &= \frac{1}{3} q^{k+1/3} \omega^r. \end{aligned}$$

Similarly, if  $s = -1$  the residue is  $(1/3)q^{k-1/3}\omega^r$  by (2.7) and (2.11). Hence in all three cases we have

$$\text{Res}_{a=\omega^r q^{n/3}} F(a) = \lambda(r, n) \omega^r q^{n/3}, \quad (2.12)$$

where for  $r \in \{0, 1, 2\}$  and  $n \in \mathbb{Z}$

$$\lambda(r, n) := \begin{cases} -\frac{8}{3} & \text{if } r = 0 \text{ and } n \equiv 0 \pmod{3}, \\ \frac{1}{3} & \text{otherwise.} \end{cases} \quad (2.13)$$

Our next step is to construct a function  $G(a)$  from the principal parts of  $F(a)$  at the poles  $a = \omega^r q^{n/3}$ ,  $r \in \{0, 1, 2\}$ ,  $n \in \mathbb{Z}$ , in such a way that  $F(a) - G(a)$  is analytic in  $\mathbb{C}$  except possibly at  $a = 0$ . We make use of the simple identities

$$\sum_{r=0}^2 \frac{\omega^r y}{x - \omega^r y} = \frac{3y^3}{x^3 - y^3} \quad (2.14)$$

and

$$\sum_{r=0}^2 \frac{x}{x - \omega^r} = \frac{3x^3}{x^3 - 1}. \quad (2.15)$$

We begin by showing that the three infinite series

$$\sum_{n=1}^{\infty} \frac{\lambda(r, n) \omega^r q^{n/3}}{a - \omega^r q^{n/3}}, \quad r \in \{0, 1, 2\}, \quad |q| < 1,$$

converge absolutely for  $a \neq 0$  and  $a \neq \omega^r q^{n/3}$  for any  $r \in \{0, 1, 2\}$  and any  $n \in \mathbb{N}$ . As  $a \neq 0$  we have  $|a| > 0$ . Thus as  $|q| < 1$  there exists a positive integer  $N = N(a, q)$  such that

$$0 < |q^{1/3}|^n < |a| \quad \text{for all } n \geq N.$$

Hence for  $n \geq N$  we have

$$\begin{aligned} \left| \frac{\lambda(r, n) \omega^r q^{n/3}}{a - \omega^r q^{n/3}} \right| &\leq \frac{8}{3} \frac{|q^{1/3}|^n}{|a - \omega^r q^{n/3}|} \quad (\text{by (2.13)}) \\ &\leq \frac{8}{3} \frac{|q^{1/3}|^n}{|a| - |q^{1/3}|^n} \quad (\text{by the triangle inequality}) \\ &\leq \frac{8}{3} \frac{1}{|a| - |q^{1/3}|^N} |q^{1/3}|^n \end{aligned}$$

and the three series  $\sum_{n=1}^{\infty} \lambda(r,n) \omega^r q^{n/3} / (a - \omega^r q^{n/3})$  ( $r \in \{0, 1, 2\}$ ) converge absolutely by comparison with the series  $\sum_{n=1}^{\infty} |q^{1/3}|^n$ . Hence, as  $a \neq \omega^r q^{n/3}$  for any  $r \in \{0, 1, 2\}$  and any  $n \in \mathbb{N}$ , the three series

$$\sum_{n=1}^{\infty} \frac{\lambda(r,n) \omega^r q^{n/3}}{a - \omega^r q^{n/3}}, \quad r \in \{0, 1, 2\},$$

converge absolutely. Thus we can form the sum  $G_+(a)$  of the principal parts of  $F(a)$  at the poles  $a = \omega^r q^{n/3}$ ,  $r \in \{0, 1, 2\}$ ,  $n \in \mathbb{N}$ , namely

$$G_+(a) := \sum_{r=0}^2 \sum_{n=1}^{\infty} \frac{\lambda(r,n) \omega^r q^{n/3}}{a - \omega^r q^{n/3}}. \quad (2.16)$$

By (2.13) and (2.16) we have

$$G_+(a) = \frac{1}{3} \sum_{n=1}^{\infty} \sum_{r=0}^2 \frac{\omega^r q^{n/3}}{a - \omega^r q^{n/3}} - 3 \sum_{\substack{n=1 \\ n \equiv 0 \pmod{3}}}^{\infty} \frac{q^{n/3}}{a - q^{n/3}}. \quad (2.17)$$

Appealing to (2.14), (2.17) becomes

$$G_+(a) = \sum_{n=1}^{\infty} \frac{q^n}{a^3 - q^n} - 3 \sum_{n=1}^{\infty} \frac{q^n}{a - q^n}.$$

Hence

$$G_+(a) = \sum_{n=1}^{\infty} \frac{a^{-3} q^n}{1 - a^{-3} q^n} - 3 \sum_{n=1}^{\infty} \frac{a^{-1} q^n}{1 - a^{-1} q^n}. \quad (2.18)$$

Next we form the sum of the principal parts of  $F(a)$  at the poles  $a = \omega^r$ ,  $r \in \{0, 1, 2\}$ , namely

$$\frac{-8/3}{a-1} + \frac{\omega/3}{a-\omega} + \frac{\omega^2/3}{a-\omega^2} = \frac{3}{1-a} - \frac{1}{1-a^3}$$

and define

$$G_0(a) := \frac{3}{1-a} - \frac{1}{1-a^3} - 2$$

so that

$$G_0(a) = \frac{3a}{1-a} - \frac{a^3}{1-a^3}. \quad (2.19)$$

Finally we treat the principal parts of  $F(a)$  at the poles  $a = \omega^r q^{n/3}$ ,  $r \in \{0, 1, 2\}$ ,  $n \in -\mathbb{N}$ . As each of the three infinite series

$$\sum_{n=-\infty}^{-1} \frac{\lambda(r,n) \omega^r q^{n/3}}{a - \omega^r q^{n/3}}, \quad r \in \{0, 1, 2\}, \quad |q| < 1,$$

diverges (since

$$\begin{aligned} \lim_{\substack{n \rightarrow -\infty \\ n \not\equiv 0 \pmod{3}}} \frac{\lambda(r, n) \omega^r q^{n/3}}{a - \omega^r q^{n/3}} &= \frac{\omega^r}{3} \lim_{\substack{n \rightarrow \infty \\ n \not\equiv 0 \pmod{3}}} \frac{q^{-n/3}}{a - \omega^r q^{-n/3}} \\ &= \frac{\omega^r}{3} \lim_{\substack{n \rightarrow \infty \\ n \not\equiv 0 \pmod{3}}} \frac{1}{aq^{n/3} - \omega^r} = \frac{\omega^r}{3} \frac{1}{0 - \omega^r} = -\frac{1}{3} \neq 0, \end{aligned}$$

we cannot just take the sum of the principal parts, instead we must modify the sum appropriately. We let

$$\begin{aligned} G_-(a) : &= \sum_{r=0}^2 \sum_{n=-\infty}^{-1} \left( \frac{\lambda(r, n) \omega^r q^{n/3}}{a - \omega^r q^{n/3}} + \lambda(r, n) \right) \\ &= \sum_{r=0}^2 \sum_{n=-\infty}^{-1} \frac{\lambda(r, n) a}{a - \omega^r q^{n/3}} = \sum_{r=0}^2 \sum_{n=1}^{\infty} \frac{\lambda(r, -n) a}{a - \omega^r q^{-n/3}}, \end{aligned}$$

that is

$$G_-(a) = \sum_{r=0}^2 \sum_{n=1}^{\infty} \frac{\lambda(r, n) a q^{n/3}}{a q^{n/3} - \omega^r}. \quad (2.20)$$

We show that the three infinite series

$$\sum_{n=1}^{\infty} \frac{\lambda(r, n) a q^{n/3}}{a q^{n/3} - \omega^r}, \quad r \in \{0, 1, 2\}, \quad |q| < 1,$$

converge absolutely for  $a \neq 0$  and  $a \neq \omega^r q^{-n/3}$  for any  $r \in \{0, 1, 2\}$  and any  $n \in \mathbb{N}$ . As  $a \neq 0$  we have  $1/|a| > 0$ . Since  $|q| < 1$  there exists a positive integer  $N = N(a, q)$  such that

$$0 < |q^{1/3}|^n < \frac{1}{|a|} \text{ for all } n \geq N.$$

Hence, for all  $n \geq N$ , we have

$$\begin{aligned} \left| \frac{\lambda(r, n) a q^{n/3}}{a q^{n/3} - \omega^r} \right| &\leq \frac{8}{3} \frac{|a| |q^{1/3}|^n}{|a q^{n/3} - \omega^r|} \quad (\text{by (2.13)}) \\ &\leq \frac{8}{3} \frac{|a| |q^{1/3}|^n}{1 - |a| |q^{1/3}|^n} \quad (\text{by the triangle inequality}) \\ &\leq \frac{8}{3} \frac{1}{\left( \frac{1}{|a|} - |q^{1/3}|^N \right)} |q^{1/3}|^n \end{aligned}$$

and the three series  $\sum_{n=N}^{\infty} \lambda(r, n) a q^{n/3} / (a q^{n/3} - \omega^r)$ ,  $r \in \{0, 1, 2\}$  converge absolutely by comparison with the series  $\sum_{n=N}^{\infty} |q^{1/3}|^n$ . Hence, as  $a \neq \omega^r q^{-n/3}$  for any  $r \in \{0, 1, 2\}$  and any  $n \in \mathbb{N}$ , the three series

$$\sum_{n=1}^{\infty} \frac{\lambda(r, n) a q^{n/3}}{a q^{n/3} - \omega^r}, \quad r \in \{0, 1, 2\},$$

converge absolutely. By (2.13) and (2.20), we obtain

$$G_-(a) = \sum_{n=1}^{\infty} \sum_{r=0}^2 \frac{\lambda(r, n) a q^{n/3}}{a q^{n/3} - \omega^r} = \frac{1}{3} \sum_{n=1}^{\infty} \sum_{r=0}^2 \frac{a q^{n/3}}{a q^{n/3} - \omega^r} - 3 \sum_{\substack{n=1 \\ n \equiv 0 \pmod{3}}}^{\infty} \frac{a q^{n/3}}{a q^{n/3} - 1}.$$

Appealing to (2.15), we deduce

$$G_-(a) = \sum_{n=1}^{\infty} \frac{a^3 q^n}{a^3 q^n - 1} - 3 \sum_{n=1}^{\infty} \frac{a q^n}{a q^n - 1}$$

so that

$$G_-(a) = 3 \sum_{n=1}^{\infty} \frac{a q^n}{1 - a q^n} - \sum_{n=1}^{\infty} \frac{a^3 q^n}{1 - a^3 q^n}. \quad (2.21)$$

For  $a \neq 0$  we define

$$G(a) := G_+(a) + G_0(a) + G_-(a). \quad (2.22)$$

By (2.18), (2.19) and (2.21), we deduce that

$$\begin{aligned} G(a) &= \frac{3a}{1-a} - \frac{a^3}{1-a^3} + 3 \sum_{n=1}^{\infty} \frac{a q^n}{1 - a q^n} - 3 \sum_{n=1}^{\infty} \frac{a^{-1} q^n}{1 - a^{-1} q^n} \\ &\quad - \sum_{n=1}^{\infty} \frac{a^3 q^n}{1 - a^3 q^n} + \sum_{n=1}^{\infty} \frac{a^{-3} q^n}{1 - a^{-3} q^n}. \end{aligned} \quad (2.23)$$

From (2.23) we deduce

$$G(-1) = -1 \quad (2.24)$$

and

$$G(aq) = G(a). \quad (2.25)$$

Finally we define

$$H(a) := F(a) - G(a). \quad (2.26)$$

By (2.6), (2.24) and (2.26), we have

$$H(-1) = 1. \quad (2.27)$$

By (2.4), (2.25) and (2.26), we have

$$H(aq) = H(a). \quad (2.28)$$

By the definition of  $G(a)$  in terms of sums of the principal parts of  $F(a)$  at its poles, it is clear that  $H(a)$  is analytic in  $\mathbb{C}$  except possibly at  $a = 0$ . Hence  $H(a)$  has a Laurent expansion

$$H(a) = \sum_{n=-\infty}^{\infty} h_n a^n, \quad (2.29)$$

where each  $h_n$  depends (at most) upon  $q$  but not on  $a$ . By (2.28) and (2.29) we have

$$\sum_{n=-\infty}^{\infty} h_n q^n a^n = H(aq) = H(a) = \sum_{n=-\infty}^{\infty} h_n a^n.$$

By the uniqueness of the Laurent expansion, we deduce

$$h_n q^n = h_n, \quad n \in \mathbb{Z}.$$

Thus, as  $|q| < 1$ , we deduce

$$h_n = 0, \quad n \in \mathbb{Z} \setminus \{0\}. \quad (2.30)$$

Hence, by (2.29), (2.30) and (2.27), we have

$$H(a) = h_0 = H(-1) = 1 \quad (2.31)$$

and so, by (2.26) and (2.31), we deduce

$$F(a) = 1 + G(a). \quad (2.32)$$

Appealing to (2.2), (2.23) and (2.32), we obtain for  $|q| < 1$ ,  $a \neq 0$  and  $a^3 \neq q^n$  for any  $n \in \mathbb{Z}$

$$\begin{aligned} & \frac{(1+a)^3}{1-a^3} \prod_{n=1}^{\infty} \frac{(1-a^2 q^n)^3 (1-a^{-2} q^n)^3 (1-q^n)^2}{(1-a q^n)^3 (1-a^{-1} q^n)^3 (1-a^3 q^n) (1-a^{-3} q^n)} \\ &= 1 + \frac{3a}{1-a} - \frac{a^3}{1-a^3} + \sum_{n=1}^{\infty} \left( \frac{3aq^n}{1-aq^n} - \frac{3a^{-1}q^n}{1-a^{-1}q^n} - \frac{a^3q^n}{1-a^3q^n} + \frac{a^{-3}q^n}{1-a^{-3}q^n} \right) \\ &= \frac{(1+a)^3}{1-a^3} + \sum_{n=1}^{\infty} \left( \frac{3aq^n}{1-aq^n} - \frac{3a^{-1}q^n}{1-a^{-1}q^n} - \frac{a^3q^n}{1-a^3q^n} + \frac{a^{-3}q^n}{1-a^{-3}q^n} \right). \end{aligned}$$

Multiplying both sides by

$$\frac{1-a^3}{(1+a)^3} = \frac{(1-a)^3(1-a^3)}{(1-a^2)^3}$$

we obtain

$$\begin{aligned} & \prod_{n=1}^{\infty} \frac{(1-a^2 q^n)^3 (1-a^{-2} q^n)^3 (1-q^n)^2}{(1-a q^n)^3 (1-a^{-1} q^n)^3 (1-a^3 q^n) (1-a^{-3} q^n)} \quad (2.33) \\ &= 1 + \frac{(1-a)^3(1-a^3)}{(1-a^2)^3} \sum_{n=1}^{\infty} \left( \frac{3aq^n}{1-aq^n} - \frac{3a^{-1}q^n}{1-a^{-1}q^n} - \frac{a^3q^n}{1-a^3q^n} + \frac{a^{-3}q^n}{1-a^{-3}q^n} \right), \end{aligned}$$

which is valid for any  $q \in \mathbb{C}$  with  $|q| < 1$  and any  $a \in \mathbb{C}$  with  $a \neq 0$ ,  $a \neq \pm 1$  and  $a^3 \neq q^n$  for any  $n \in \mathbb{Z}$ . We now choose  $a = \omega_m = e^{2\pi i/m}$ , where  $m \in \mathbb{N}$  satisfies  $m \geq 4$ . Clearly  $a \neq 0$ . The conditions  $m \geq 4$  and  $|q| < 1$  ensure that  $a \neq \pm 1$  and  $a^3 \neq q^n$  for any  $n \in \mathbb{Z}$ . Hence this choice of  $a$  satisfies the conditions for the validity of (2.33). Now

$$a + a^{-1} = \omega_m + \omega_m^{-1} = \lambda_m,$$

$$\begin{aligned} a^2 + a^{-2} &= (a + a^{-1})^2 - 2 = \lambda_m^2 - 2, \\ a^3 + a^{-3} &= (a + a^{-1})^3 - 3(a + a^{-1}) = \lambda_m^3 - 3\lambda_m, \end{aligned}$$

so

$$\begin{aligned} &\prod_{n=1}^{\infty} \frac{(1-a^2q^n)^3(1-a^{-2}q^n)^3(1-q^n)^2}{(1-aq^n)^3(1-a^{-1}q^n)^3(1-a^3q^n)(1-a^{-3}q^n)} \\ &= \prod_{n=1}^{\infty} \frac{(1-(\lambda_m^2-2)q^n+q^{2n})^3(1-q^n)^2}{(1-\lambda_mq^n+q^{2n})^3(1-(\lambda_m^3-3\lambda_m)q^n+q^{2n})}. \end{aligned}$$

Next, as  $|\omega_m^k q^n| = |q|^n < 1$  for all  $k, n \in \mathbb{N}$ , we have

$$\begin{aligned} &\sum_{n=1}^{\infty} \left( \frac{3aq^n}{1-aq^n} - \frac{3a^{-1}q^n}{1-a^{-1}q^n} - \frac{a^3q^n}{1-a^3q^n} + \frac{a^{-3}q^n}{1-a^{-3}q^n} \right) \\ &= \sum_{n=1}^{\infty} \left( \frac{3\omega_m q^n}{1-\omega_m q^n} - \frac{3\omega_m^{m-1} q^n}{1-\omega_m^{m-1} q^n} - \frac{\omega_m^3 q^n}{1-\omega_m^3 q^n} + \frac{\omega_m^{m-3} q^n}{1-\omega_m^{m-3} q^n} \right) \\ &= \sum_{n=1}^{\infty} \sum_{r=1}^{\infty} (3\omega_m^r - 3\omega_m^{(m-1)r} - \omega_m^{3r} + \omega_m^{(m-3)r}) q^{nr} \\ &= \sum_{N=1}^{\infty} q^N \sum_{r|N} (3\omega_m^r - 3\omega_m^{(m-1)r} - \omega_m^{3r} + \omega_m^{(m-3)r}) \\ &= \sum_{N=1}^{\infty} q^N \sum_{k=0}^{m-1} \sum_{\substack{r|N \\ r \equiv k \pmod{m}}} (3\omega_m^r - 3\omega_m^{(m-1)r} - \omega_m^{3r} + \omega_m^{(m-3)r}) \\ &= \sum_{k=0}^{m-1} (3\omega_m^k - \omega_m^{3k} + \omega_m^{(m-3)k} - 3\omega_m^{(m-1)k}) \sum_{N=1}^{\infty} q^N \sum_{\substack{r|N \\ r \equiv k \pmod{m}}} 1 = \sum_{k=1}^{m-1} \Delta(k, m) \sum_{N=1}^{\infty} d_{k,m}(N) q^N. \end{aligned}$$

Finally, we examine

$$\frac{(1-a)^3(1-a^3)}{(1-a^2)^3} = \frac{(1-\omega_m)^3(1-\omega_m^3)}{(1-\omega_m^2)^3}.$$

If  $m \equiv 1 \pmod{2}$  then  $m \geq 5$  and  $m-1$  is an even positive integer greater than or equal to 4, and we have

$$\begin{aligned} \frac{(1-\omega_m)^3(1-\omega_m^3)}{(1-\omega_m^2)^3} &= \frac{(\omega_m^m - \omega_m)^3(1-\omega_m^3)}{(1-\omega_m^2)^3} = -\omega_m^3 \frac{(1-\omega_m^{m-1})^3(1-\omega_m^3)}{(1-\omega_m^2)^3} \\ &= -\omega_m^3 (1 + \omega_m^2 + \omega_m^4 + \cdots + \omega_m^{m-3})^3 (1 - \omega_m^3) = c_m. \end{aligned}$$

If  $m \equiv 0 \pmod{2}$  then  $m/2$  is a positive integer greater than or equal to 2, and we have

$$\frac{(1-\omega_m)^3(1-\omega_m^3)}{(1-\omega_m^2)^3} = (1-\omega_m)^3(1-\omega_m^3) \frac{\prod_{r=2}^{m/2-1} (1-\omega_m^{2r})^3}{\prod_{r=1}^{m/2-1} (1-\omega_m^{2r})^3}.$$

Now

$$\prod_{r=1}^{m/2-1} (1 - \omega_m^{2r}) = \lim_{x \rightarrow 1} \prod_{r=1}^{m/2-1} (x - \omega_m^{2r}) = \lim_{x \rightarrow 1} \frac{x^{m/2} - 1}{x - 1} = \frac{m}{2}$$

so

$$\frac{(1 - \omega_m)^3(1 - \omega_m^3)}{(1 - \omega_m^2)^3} = (1 - \omega_m)^3(1 - \omega_m^3) \frac{\prod_{r=2}^{m/2-1} (1 - \omega_m^{2r})^3}{(m/2)^3} = c_m.$$

The proposition now follows from (2.33).  $\blacksquare$

Formula (2.33) has its origins in the identity relating the Weierstrass sigma and zeta functions given in [7, p. 187]. Formulae for these functions can be found in [7] and [9]. Our proof of Proposition 2.1 is based on the ideas in Dobbie [6].

### 3. Proof of Theorem 1.1

We choose  $m = 8$  in Proposition 2.1. Here

$$\omega_8 = \frac{1+i}{\sqrt{2}}, \quad \omega_8^{-1} = \frac{1-i}{\sqrt{2}}, \quad \lambda_8 = \sqrt{2}, \quad \lambda_8^2 - 2 = 0, \quad \lambda_8^3 - 3\lambda_8 = -\sqrt{2},$$

so

$$\begin{aligned} & \prod_{n=1}^{\infty} \frac{(1 - (\lambda_8^2 - 2)q^n + q^{2n})^3 (1 - q^n)^2}{(1 - \lambda_8 q^n + q^{2n})^3 (1 - (\lambda_8^3 - 3\lambda_8)q^n + q^{2n})} \\ &= \prod_{n=1}^{\infty} \frac{(1 + q^{2n})^3 (1 - q^n)^2}{(1 - \sqrt{2}q^n + q^{2n})^3 (1 + \sqrt{2}q^n + q^{2n})} = \frac{E_1^2 E_4^6}{E_2^3 E_8^3} \prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n})^2 \end{aligned}$$

as

$$(1 - \sqrt{2}q^n + q^{2n})(1 + \sqrt{2}q^n + q^{2n}) = (1 + q^{2n})^2 - 2q^{2n} = 1 + q^{4n} = \frac{1 - q^{8n}}{1 - q^{4n}}.$$

Further, using MAPLE, we find

$$c_8 = \frac{8}{8^3} (1 - \omega_8^3) (1 - \omega_8)^3 (1 - \omega_8^4)^3 (1 - \omega_8^6)^3 = \frac{i(1 - \sqrt{2})}{\sqrt{2}}$$

and

$$\Delta(k, 8) = 3\omega_8^k - \omega_8^{3k} + \omega_8^{5k} - 3\omega_8^{7k} = \begin{cases} 0 & \text{if } k = 0, 4, \\ 2i\sqrt{2} & \text{if } k = 1, 3, \\ 8i & \text{if } k = 2, \\ -2i\sqrt{2} & \text{if } k = 5, 7, \\ -8i & \text{if } k = 6. \end{cases}$$

Then Proposition 2.1 with  $m = 8$  gives (appealing to (1.7) and (1.8))

$$\begin{aligned}
& \frac{E_1^2 E_4^6}{E_2^3 E_8^3} \prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n})^2 \\
&= 1 + \frac{i(1 - \sqrt{2})}{\sqrt{2}} \left( 2i\sqrt{2} \sum_{n=1}^{\infty} (d_{1,8}(n) + d_{3,8}(n) - d_{5,8}(n) - d_{7,8}(n))q^n \right. \\
&\quad \left. + 8i \sum_{n=1}^{\infty} (d_{2,8}(n) - d_{6,8}(n))q^n \right) \\
&= 1 + 2(\sqrt{2} - 1) \sum_{n=1}^{\infty} (d_{1,8}(n) + d_{3,8}(n) - d_{5,8}(n) - d_{7,8}(n))q^n \\
&\quad + 4\sqrt{2}(\sqrt{2} - 1) \sum_{n=1}^{\infty} (d_{1,4}(n) - d_{3,4}(n))q^{2n} \\
&= 1 + (\sqrt{2} - 1)(\varphi(q)\varphi(q^2) - 1) + \sqrt{2}(\sqrt{2} - 1)(\varphi^2(q^2) - 1) \\
&= (\sqrt{2} - 1)\varphi(q^2)(\varphi(q) + \sqrt{2}\varphi(q^2))
\end{aligned}$$

so that

$$\prod_{n=1}^{\infty} (1 + \sqrt{2}q^n + q^{2n})^2 = (\sqrt{2} - 1) \frac{E_2^3 E_8^3}{E_1^2 E_4^6} \varphi(q^2)(\varphi(q) + \sqrt{2}\varphi(q^2)).$$

By (1.3) we have  $\varphi(q^2) = E_4^5 / (E_2^2 E_8^2)$  and the first formula of Theorem 1.1 follows.

The second formula follows by conjugation.  $\blacksquare$

#### 4. Proof of Theorem 1.2

Here we apply Proposition 2.1 with  $m = 12$ . We have

$$\omega_{12} = \frac{\sqrt{3} + i}{2}, \quad \omega_{12}^{-1} = \frac{\sqrt{3} - i}{2}, \quad \lambda_{12} = \sqrt{3}, \quad \lambda_{12}^2 - 2 = 1, \quad \lambda_{12}^3 - 3\lambda_{12} = 0,$$

so

$$\begin{aligned}
& \prod_{n=1}^{\infty} \frac{(1 - (\lambda_{12}^2 - 2)q^n + q^{2n})^3 (1 - q^n)^2}{(1 - \lambda_{12}q^n + q^{2n})^3 (1 - (\lambda_{12}^3 - 3\lambda_{12})q^n + q^{2n})} \\
&= \prod_{n=1}^{\infty} \frac{(1 - q^n + q^{2n})^3 (1 - q^n)^2}{(1 - \sqrt{3}q^n + q^{2n})^3 (1 + q^{2n})} = \frac{E_1^5 E_4^2 E_6^6}{E_2^5 E_3^3 E_{12}^3} \prod_{n=1}^{\infty} (1 + \sqrt{3}q^n + q^{2n})^3,
\end{aligned}$$

as

$$\prod_{n=1}^{\infty} (1 + q^{2n}) = \prod_{n=1}^{\infty} \frac{1 - q^{4n}}{1 - q^{2n}} = \frac{E_4}{E_2},$$

$$\prod_{n=1}^{\infty} (1 - q^n + q^{2n}) = \prod_{n=1}^{\infty} \frac{1 + q^{3n}}{1 + q^n} = \prod_{n=1}^{\infty} \frac{1 - q^{6n}}{1 - q^{3n}} \frac{1 - q^n}{1 - q^{2n}} = \frac{E_1 E_6}{E_2 E_3},$$

and

$$\begin{aligned} & \prod_{n=1}^{\infty} (1 - \sqrt{3}q^n + q^{2n})(1 + \sqrt{3}q^n + q^{2n}) \\ &= \prod_{n=1}^{\infty} ((1 + q^{2n})^2 - 3q^{2n}) = \prod_{n=1}^{\infty} (1 - q^{2n} + q^{4n}) = \frac{E_2 E_{12}}{E_4 E_6}. \end{aligned}$$

Further, using MAPLE, we find that

$$c_{12} = \frac{8}{12^3} (1 - \omega_{12}^3) (1 - \omega_{12})^3 (1 - \omega_{12}^4)^3 (1 - \omega_{12}^6)^3 (1 - \omega_{12}^8)^3 (1 - \omega_{12}^{10})^3 = (5 - 3\sqrt{3})i$$

and

$$\Delta(k, 12) = 3\omega_{12}^k - \omega_{12}^{3k} + \omega_{12}^{9k} - 3\omega_{12}^{11k} = \begin{cases} 0 & \text{if } k = 0, 6, \\ i & \text{if } k = 1, 5, \\ -i & \text{if } k = 7, 11, \\ 3i\sqrt{3} & \text{if } k = 2, 4, \\ -3i\sqrt{3} & \text{if } k = 8, 10, \\ 8i & \text{if } k = 3, \\ -8i & \text{if } k = 9. \end{cases}$$

Then Proposition 2.1 with  $m = 12$  gives

$$\begin{aligned} & \frac{E_1^5 E_4^2 E_6^6}{E_2^5 E_3^3 E_{12}^3} \prod_{n=1}^{\infty} (1 + \sqrt{3}q^n + q^{2n})^3 \\ &= 1 + (5 - 3\sqrt{3})i \sum_{n=1}^{\infty} \left( i(d_{1,12}(n) + d_{5,12}(n) - d_{7,12}(n) - d_{11,12}(n)) \right. \\ &\quad \left. + 3i\sqrt{3}(d_{2,12}(n) + d_{4,12}(n) - d_{8,12}(n) - d_{10,12}(n)) + 8i(d_{3,12}(n) - d_{9,12}(n)) \right) q^n \\ &= 1 + (3\sqrt{3} - 5) \sum_{n=1}^{\infty} (d_{1,12}(n) + d_{5,12}(n) - d_{7,12}(n) - d_{11,12}(n)) q^n \\ &\quad + 3\sqrt{3}(3\sqrt{3} - 5) \sum_{n=1}^{\infty} (d_{1,6}(n) - d_{5,6}(n)) q^{2n} \\ &\quad + 3\sqrt{3}(3\sqrt{3} - 5) \sum_{n=1}^{\infty} (d_{1,3}(n) - d_{2,3}(n)) q^{4n} \\ &\quad + 8(3\sqrt{3} - 5) \sum_{n=1}^{\infty} (d_{1,4}(n) - d_{3,4}(n)) q^{3n} \\ &= 1 + (3\sqrt{3} - 5) \left( \frac{\varphi^2(q) + \varphi^2(q^3) - 2}{4} \right) \quad (\text{by (1.11)}) \\ &\quad + 3\sqrt{3}(3\sqrt{3} - 5) \left( \frac{a(q^2) + a(q^4) - 2}{6} \right) \quad (\text{by (1.12)}) \\ &\quad + 3\sqrt{3}(3\sqrt{3} - 5) \left( \frac{a(q^4) - 1}{6} \right) \quad (\text{by (1.10)}) \\ &\quad + 8(3\sqrt{3} - 5) \left( \frac{\varphi^2(q^3) - 1}{4} \right) \quad (\text{by (1.7)}) \\ &= \frac{(3\sqrt{3} - 5)}{4} (\varphi^2(q) + 9\varphi^2(q^3)) + (3\sqrt{3} - 5) \frac{\sqrt{3}}{2} (a(q^2) + 2a(q^4)). \end{aligned}$$

Borwein, Borwein and Garvan [4, eq. (2.27), p. 44] have proved that

$$a(q) + 2a(q^2) = 3 \frac{\varphi^3(-q^3)}{\varphi(-q)}. \quad (4.1)$$

Hence

$$\frac{E_1^5 E_4^2 E_6^6}{E_2^5 E_3^3 E_{12}^3} \prod_{n=1}^{\infty} (1 + \sqrt{3}q^n + q^{2n})^3 = \frac{(3\sqrt{3} - 5)}{4} \left( \varphi^2(q) + 9\varphi^2(q^3) + 6\sqrt{3} \frac{\varphi^3(-q^6)}{\varphi(-q^2)} \right),$$

from which the first asserted formula of Theorem 1.2 follows.

The second formula follows by conjugation.  $\blacksquare$

## 5. Proof of Theorem 1.3

Here we apply Proposition 2.1 with  $m = 5$ . We have

$$\omega_5 = \frac{\sqrt{5}-1}{4} + i\frac{\sqrt{10+2\sqrt{5}}}{4}, \quad \omega_5^{-1} = \frac{\sqrt{5}-1}{4} - i\frac{\sqrt{10+2\sqrt{5}}}{4},$$

$$\lambda_5 = \frac{\sqrt{5}-1}{2}, \quad \lambda_5^2 - 2 = \frac{-1-\sqrt{5}}{2}, \quad \lambda_5^3 - 3\lambda_5 = \frac{-1-\sqrt{5}}{2},$$

so

$$\prod_{n=1}^{\infty} \frac{(1 - (\lambda_5^2 - 2)q^n + q^{2n})^3 (1 - q^n)^2}{(1 - \lambda_5 q^n + q^{2n})^3 (1 - (\lambda_5^3 - 3\lambda_5)q^n + q^{2n})}$$

$$= \prod_{n=1}^{\infty} \frac{(1 + (\frac{1+\sqrt{5}}{2})q^n + q^{2n})^2 (1 - q^n)^2}{(1 + (\frac{1-\sqrt{5}}{2})q^n + q^{2n})^3} = \frac{E_1^5}{E_5^3} \prod_{n=1}^{\infty} \left(1 + \left(\frac{1+\sqrt{5}}{2}\right)q^n + q^{2n}\right)^5,$$

as

$$\left(1 + \left(\frac{1-\sqrt{5}}{2}\right)q^n + q^{2n}\right) \left(1 + \left(\frac{1+\sqrt{5}}{2}\right)q^n + q^{2n}\right)$$

$$= \left(1 + \frac{1}{2}q^n + q^{2n}\right)^2 - \frac{5}{4}q^{2n} = 1 + q^n + q^{2n} + q^{3n} + q^{4n} = \frac{1 - q^{5n}}{1 - q^n}.$$

Next we find

$$c_5 = -\omega_5^3 (1 - \omega_5^3) (1 + \omega_5^2)^3 = \omega_5 - 2\omega_5^2 + 2\omega_5^3 - \omega_5^4$$

$$= \frac{1}{2}i \left( \sqrt{10+2\sqrt{5}} - 2\sqrt{10-2\sqrt{5}} \right) = -\frac{1}{2}i\sqrt{50-22\sqrt{5}}$$

and

$$\Delta(k, 5) = 3\omega_5^k + \omega_5^{2k} - \omega_5^{3k} - 3\omega_5^{4k} = \begin{cases} 0 & \text{if } k = 0, \\ i\sqrt{25+10\sqrt{5}} & \text{if } k = 1, \\ i\sqrt{25-10\sqrt{5}} & \text{if } k = 2, \\ -i\sqrt{25-10\sqrt{5}} & \text{if } k = 3, \\ -i\sqrt{25+10\sqrt{5}} & \text{if } k = 4. \end{cases}$$

Hence, by Proposition 2.1 with  $m = 5$ , we obtain

$$\begin{aligned}
& \frac{E_1^5}{E_5^3} \prod_{n=1}^{\infty} \left( 1 + \frac{1+\sqrt{5}}{2} q^n + q^{2n} \right)^5 \\
&= 1 - \frac{1}{2} i \sqrt{50 - 22\sqrt{5}} \left( i \sqrt{25 + 10\sqrt{5}} \sum_{n=1}^{\infty} (d_{1,5}(n) - d_{4,5}(n)) q^n \right. \\
&\quad \left. + i \sqrt{25 - 10\sqrt{5}} \sum_{n=1}^{\infty} (d_{2,5}(n) - d_{3,5}(n)) q^n \right) \\
&= 1 + \frac{1}{2} (5\sqrt{5} - 5) \sum_{n=1}^{\infty} (d_{1,5}(n) - d_{4,5}(n)) q^n \\
&\quad + \frac{1}{2} (35 - 15\sqrt{5}) \sum_{n=1}^{\infty} (d_{2,5}(n) - d_{3,5}(n)) q^n,
\end{aligned}$$

which gives the first formula.

The second formula follows by conjugation. ■

## 6. Final Remarks

The referee has pointed out that Theorem 1.1 is equivalent to Theorem 3.2 in Yuttanan [10], and that further results involving the products in Theorem 1.3 have been given by Huber [8].

The choice  $m = 4$  in Proposition 2.1 yields using (1.3) Jacobi's identity (1.7). Thus Jacobi's two squares theorem is the special case  $m = 4$  of Proposition 2.1. The choice  $m = 6$  in Proposition 2.1 gives using (1.3) and (1.10) the identity (4.1) of Borwein, Borwein and Garvan. It would be interesting to investigate Proposition 2.1 for other values of  $m$  such as  $m = 10$ . In this connection the referee has suggested consulting Cooper and Hirschhorn [5] for some results along these lines.

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