

ASYMPTOTIC BEHAVIOUR OF THE n^{th} TERM OF CERTAIN SUBSEQUENCES OF THE NATURAL NUMBERS

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Let $A = \{a(1), a(2), \dots, a(n), \dots\}$ be an infinite subsequence of the natural numbers. Number theory provides us with a wealth of examples of such subsequences A for which the asymptotic behaviour is known (as $x \rightarrow +\infty$) of the number $\pi_A(x)$ of elements in A , which are less than or equal to the real number x . (For a few such examples see [2]—[12]). For example [3] if A is the subsequence of squarefree integers it is known that

$$\pi_A(x) = \frac{6}{\pi^2}x + O(x^{\frac{1}{2}}), \text{ as } x \rightarrow +\infty.$$

Hence as $\pi_A(a(n)) = n$, we have

$$n = \frac{6}{\pi^2}a(n) + O((a(n))^{\frac{1}{2}}),$$

from which we deduce

$$a(n) = O(n),$$

and so $n = \frac{6}{\pi^2}a(n) + O(n^{\frac{1}{2}})$, that is,

$$a(n) = \frac{\pi^2}{6}n + O(n^{\frac{1}{2}}), \text{ as } n \rightarrow +\infty.$$

Thus we have deduced the asymptotic behaviour of $a(n)$ from the known asymptotic behaviour of $\pi_A(x)$. It is the purpose of this paper to do this for a general subsequence A for which the asymptotic behaviour of $\pi_A(x)$ is known. We suppose that an asymptotic formula for $\pi_A(x)$ is known of the following type :

$$(1) \quad \pi_A(x) = f(x) + O(g(x)), \quad \text{as } x \rightarrow +\infty,$$

where the constant implied by the O -symbol is independent of x . It will always be understood that such an expression as (1) is a genuine asymptotic formula, that is, $f(x)$ is the "main term", so that $\pi_A(x) \sim f(x)$, as $x \rightarrow +\infty$, and $O(g(x))$ is the "error term". This is guaranteed by

$$\lim_{x \rightarrow +\infty} \frac{g(x)}{f(x)} = 0.$$

We prove

Theorem. Let $A = \{a(1), a(2), \dots\}$ be an infinite subsequence of the natural numbers for which an asymptotic formula (1) is known, where for all sufficiently large x , $f'(x)$ and $g'(x)$ both exist, and satisfy

$$(2) \quad f'(x) > 0, \quad g'(x) > 0, \quad \frac{f(x)}{f'(x)} = O(x), \quad \frac{g'(x)}{g(x)} = O\left(\frac{1}{x}\right),$$

as $x \rightarrow +\infty$.

Then if $(k(x), h(x))$ is a pair of real-valued functions with $h(x) = o(x)$, as $x \rightarrow +\infty$, such that for all sufficiently large x we have

$$(3) \quad f(k(x)) = x + O(h(x)),$$

then

$$(4) \quad a(n) = k(n) + O\left(\frac{k(n)}{n} \max(g(k(n)), h(n))\right),$$

as $n \rightarrow +\infty$.

We note that (2) implies the existence of $f^{-1}(x)$ for all sufficiently large x and so there is always a pair $(k(x), h(x))$ satisfying (3), namely $(k(x), h(x)) = (f^{-1}(x), 0)$. With this choice the theorem gives

$$(5) \quad a(n) = f^{-1}(n) + O\left(\frac{f^{-1}(n) g(f^{-1}(n))}{n}\right), \text{ as } n \rightarrow \infty.$$

However as we shall see in the examples concluding this paper, it is often more convenient to apply (4) with $k(x) \neq f^{-1}(x)$ rather than (5).

In the proof of the theorem we make use of the following theorem due to Entringer [1], namely, if $r(x) \rightarrow +\infty$ and $r(x) \sim s(x)$ as $x \rightarrow +\infty$, and $t(x)$ is monotonic and

$$\frac{t'(x)}{t(x)} = O\left(\frac{1}{x}\right)$$

for all sufficiently large x , then $t(r(x)) \sim t(s(x))$, as $x \rightarrow +\infty$.

Proof of Theorem. As $f'(x) > 0$, for all sufficiently large x , $f^{-1}(x)$ exists and is differentiable with positive derivative

$(f^{-1})'(x) = \frac{1}{f'(f^{-1}(x))}$, for all sufficiently large x . Moreover as

A is an infinite subsequence we have $\pi_A(x) \rightarrow +\infty$, as $x \rightarrow +\infty$. But $\pi_A(x) \sim f(x)$, as $x \rightarrow +\infty$, so we must have $f(x) \rightarrow +\infty$, as $x \rightarrow +\infty$. Thus $f^{-1}(x) \rightarrow +\infty$, as $x \rightarrow +\infty$, and so choosing

$y = f^{-1}(x)$ in $\frac{f(y)}{f'(y)} = O(y)$, as $y \rightarrow +\infty$, we obtain

$$(6) \quad \begin{aligned} \frac{(f^{-1})'(x)}{f^{-1}(x)} &= \frac{1}{xf^{-1}(x)} \cdot \frac{f(f^{-1}(x))}{f'(f^{-1}(x))} \\ &= \frac{1}{xf^{-1}(x)} \cdot O(f^{-1}(x)) = O\left(\frac{1}{x}\right), \end{aligned}$$

as $x \rightarrow +\infty$. Now from (3), as $h(x) = o(x)$, as $x \rightarrow +\infty$, we have

$$(7) \quad f(k(x)) \sim x, \text{ as } x \rightarrow +\infty.$$

Thus for all sufficiently large x , we have

$$(8) \quad f(k(x)) \geq \frac{x}{2}.$$

From (6) and (7) by Entringer's theorem we have

(9) $k(x) = f^{-1}(f(k(x))) \sim f^{-1}(x)$, as $x \rightarrow +\infty$, and so for all sufficiently large x we have

$$(10) \quad \frac{1}{2}k(x) \leq f^{-1}(x) \leq \frac{3}{2}k(x).$$

From (9) we deduce $k(x) \rightarrow +\infty$, as $x \rightarrow +\infty$, and so as $f(x)$ is monotonic increasing we have from (10) for all sufficiently large x

$$(11) \quad x \leq f\left(\frac{3}{2}k(x)\right).$$

Hence from (8) and (11) we have for all sufficiently large x

$$(12) \quad \max(x, f(k(x))) \leq f\left(\frac{3}{2}k(x)\right) \leq \min(x, f(k(x))) \geq \frac{x}{2}.$$

Now by the mean value theorem there exists $c(x)$ satisfying $\min(x, f(k(x))) \leq c(x) \leq \max(x, f(k(x)))$ and such that

$$(13) \quad |f^{-1}(x) - k(x)| = |f^{-1}(x) - f^{-1}(f(k(x)))| \\ = |(f^{-1})'(c(x))(x - f(k(x)))|.$$

From (12) we deduce that

$$(14) \quad \frac{x}{2} \leq c(x) \leq f\left(\frac{3}{2}k(x)\right).$$

and so as $f^{-1}(x)$ is monotonic increasing for all sufficiently large x , we have

$$f^{-1}(c(x)) \leq \frac{3}{2}k(x).$$

Hence from (6) and (14) we have

$$(15) \quad (f^{-1})'(c(x)) = O\left(\frac{f^{-1}(c(x))}{c(x)}\right) = O\left(\frac{k(x)}{x}\right),$$

as $x \rightarrow +\infty$.

Thus from (3), (13) and (15) we deduce

$$(16) \quad |f^{-1}(x) - k(x)| = O\left(\frac{k(x)h(x)}{x}\right), \text{ as } x \rightarrow +\infty.$$

Now taking $x = a(n)$, $n \rightarrow +\infty$, in (1) we obtain

$$(17) \quad n = \pi_A(a(n)) = f(a(n)) + O(g(a(n))),$$

as $n \rightarrow +\infty$, that is,

$$(18) \quad n \sim f(a(n)), \text{ as } n \rightarrow +\infty,$$

and so in particular for all sufficiently large n we have

$$(19) \quad f(a(n)) \geq \frac{n}{2}.$$

From (18) by Entringer's theorem we have

$$(20) \quad f^{-1}(n) \sim a(n), \text{ as } n \rightarrow \infty,$$

and so in particular for all sufficiently large n we have

$$(21) \quad \frac{1}{2} a(n) \leq f^{-1}(n) \leq \frac{3}{2} a(n).$$

Thus as $f(x)$ is increasing for all sufficiently large x , and $a(n) \rightarrow +\infty$, as $n \rightarrow +\infty$, we deduce from (21) that for all sufficiently large n ,

$$(22) \quad n \leq f\left(\frac{3}{2} a(n)\right).$$

Hence from (19) and (22) we have for all sufficiently large n

$$(23) \quad \max (n, f(a(n))) \leq f \left(\frac{3}{2} a(n) \right),$$

$$\min (n, f(a(n))) \geq \frac{n}{2}.$$

Now by the mean value theorem there exists $d(n)$ satisfying $\min (n, f(a(n))) \leq d(n) \leq \max (n, f(a(n)))$ such that

$$(24) \quad |a(n) - f^{-1}(n)| = |f^{-1}(f(a(n))) - f^{-1}(n)| \\ = |(f^{-1})'(d(n))(f(a(n)) - n)|.$$

From (23) we deduce that for all sufficiently large n

$$(25) \quad \frac{n}{2} \leq d(n) \leq f \left(\frac{3}{2} a(n) \right),$$

and so as $f^{-1}(x)$ is monotonic increasing for all sufficiently large x , we have

$$f^{-1}(d(n)) \leq \frac{3}{2} a(n).$$

Hence from (6) and (25) we have

$$(26) \quad (f^{-1})'(d(n)) = O\left(\frac{f^{-1}(d(n))}{d(n)}\right) = O\left(\frac{a(n)}{n}\right), \text{ as } n \rightarrow +\infty.$$

Moreover from (9) and (20) we have

$$(27) \quad a(n) \sim f^{-1}(n) \sim k(n), \text{ as } n \rightarrow +\infty,$$

so that (26) becomes

$$(28) \quad (f^{-1})'(d(n)) = O\left(\frac{k(n)}{n}\right), \text{ as } n \rightarrow +\infty.$$

From (2), $g(x)$ satisfies the conditions of Entringer's theorem and so by (27) we can deduce

$$(29) \quad g(a(n)) \sim g(k(n)), \text{ as } n \rightarrow +\infty,$$

so that from (17), (24), (28), (29) we obtain

$$(30) \quad |a(n) - f^{-1}(n)| = O\left(\frac{k(n)g(k(n))}{n}\right), \text{ as } n \rightarrow +\infty.$$

(4) now follows from (16) and (30) in view of the inequality

$$|a(n) - k(n)| \leq |a(n) - f^{-1}(n)| + |f^{-1}(n) - k(n)|.$$

We remark that (4) is a genuine asymptotic formula as $h(n) = o(n)$ and $g(k(n)) = o(f(k(n))) = o(n)$, as $n \rightarrow +\infty$.

We conclude this paper with two examples.

Example 1. Let $a(n) = n^{\text{th}}$ integer which is the sum of two squares. Then it is known [5] (p. 261) that

$$\pi_A(x) = \frac{Bx}{\log^{\frac{1}{2}} x} + O\left(\frac{x}{\log^{\frac{9}{4}} x}\right), \text{ as } x \rightarrow +\infty,$$

where

$$B = \frac{1}{\sqrt{2}} \prod_{r=1}^{\infty} \left(1 - \frac{1}{r^2}\right)^{-\frac{1}{2}}$$

Thus we may take

$$f(x) = \frac{Bx}{\log^{\frac{1}{2}} x} \quad \text{and} \quad g(x) = \frac{x}{\log^{\frac{9}{4}} x}.$$

It is easily verified that the conditions given in (2) are satisfied. Further as (see below)

$$(31) \quad f\left(\frac{x \log^{\frac{1}{2}} x}{B}\right) = x + o\left(\frac{x \log \log x}{\log x}\right), \text{ as } x \rightarrow +\infty.$$

we can choose

$$(k(x), h(x)) = \left(\frac{x \log^{\frac{1}{2}} x}{B}, \frac{x \log \log x}{\log x}\right).$$

Then by the theorem we have

$$a(n) = \frac{n \log^{\frac{1}{2}} n}{B} + O(n \log^{\frac{1}{2}} n), \text{ as } n \rightarrow +\infty.$$

Proof of (31). For $x \geq \exp(B^2)$,

so that
$$\frac{\log^{\frac{1}{2}} x}{B} \geq 1,$$

we have

$$\begin{aligned} x - f\left(\frac{x \log^{\frac{1}{2}} x}{B}\right) &= x - x \left(\frac{\log x}{\log\left(\frac{1}{B} x \log^{\frac{1}{2}} x\right)} \right)^{\frac{1}{2}} \\ &\geq x - x \left(\frac{\log x}{\log x} \right)^{\frac{1}{2}} = 0, \end{aligned}$$

so that as $x \rightarrow +\infty$ we have

$$\begin{aligned} \left| x - f\left(\frac{x \log^{\frac{1}{2}} x}{B}\right) \right| &= x - f\left(\frac{x \log^{\frac{1}{2}} x}{B}\right) \\ &= x \frac{\left\{ \log^{\frac{1}{2}}\left(\frac{1}{B} x \log^{\frac{1}{2}} x\right) - \log^{\frac{1}{2}} x \right\}}{\log^{\frac{1}{2}}\left(\frac{1}{B} x \log^{\frac{1}{2}} x\right)} \\ &\leq \frac{x}{\log^{\frac{1}{2}} x} \left\{ \log^{\frac{1}{2}}\left(\frac{1}{B} x \log^{\frac{1}{2}} x\right) - \log^{\frac{1}{2}} x \right\} \\ &= x \left[\left(1 + \frac{\log\left(\frac{\log^{\frac{1}{2}} x}{B}\right)}{\log x} \right)^{\frac{1}{2}} - 1 \right] \end{aligned}$$

$$\begin{aligned} &\leq \frac{x}{2} \frac{\log\left(\frac{\log^{\frac{1}{2}}x}{B}\right)}{\log x} \\ &= O\left(\frac{x \log \log x}{\log x}\right) \end{aligned}$$

as required.

Example 2. Let $a(n) = n^{\text{th}}$ prime number. It is well-known [5] (p. 250) that one form of the prime number theorem with error term is

$$\Pi_A(x) = li(x) + O(x e^{-c\sqrt{\log x}}), \text{ as } x \rightarrow +\infty,$$

where c is a positive constant and $li(x)$ is the logarithmic integral

$$\int_2^x \frac{dt}{\log t}.$$

Thus we may take $f(x) = li(x)$ and $g(x) = x e^{-c\sqrt{\log x}}$. It is easily verified that the conditions given in (2) are satisfied. Further as (see below).

$$(32) \quad li(x \log x) = x + O\left(\frac{x \log \log x}{\log x}\right), \text{ as } x \rightarrow +\infty,$$

we can choose

$$(k(x), h(x)) = \left(x \log x, \frac{x \log \log x}{\log x}\right).$$

Then by the theorem we have

$$a(n) = n \log n + O(n \log \log n), \text{ as } n \rightarrow +\infty.$$

Proof of (32). We have on integrating by parts

$$li(x \log x) - x = \int_2^{x \log x} \frac{dt}{\log t} - x$$

$$= \frac{-x \log \log x}{\log(x \log x)} + \int_2^{x \log x} \frac{dt}{\log^2 t} + O(1)$$

$$= O\left(\frac{x \log \log x}{\log x}\right),$$

$$\begin{aligned} \text{as } \int_2^{x \log x} \frac{dt}{\log^2 t} &= \left(\int_2^{\sqrt{x}} + \int_{\sqrt{x}}^{x \log x} \right) \frac{dt}{\log^2 t} \\ &= O(\sqrt{x}) + O\left(\frac{x \log x - \sqrt{x}}{\log^2 \sqrt{x}}\right) \\ &= O\left(\frac{x}{\log x}\right). \end{aligned}$$

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